

EMERSON ELECTRIC CO

Form 4

December 20, 2013

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STEEVES FRANK L

(Last) (First) (Middle)

C/O EMERSON ELECTRIC  
CO., 8000 W. FLORISSANT AVE.

(Street)

ST. LOUIS, MO 63136

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

EMERSON ELECTRIC CO [EMR]

3. Date of Earliest Transaction (Month/Day/Year)

12/18/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Exec. VP, Secy & Gen. Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	12/03/2013		G <sup>(1)</sup>	V 1,000 (1) D (3) 115,725	D		
Common Stock	12/13/2013		G <sup>(2)</sup>	V 1,000 (2) D (3) 114,725	D		
Common Stock	12/18/2013		S	3,000 D \$ 67 111,725	D		
Common Stock	12/19/2013		M <sup>(4)</sup>	1,875 (4) A \$ 53.31 113,600	D		
Common Stock	12/19/2013		M <sup>(4)</sup>	6,990 (4) A \$ 42.91 120,590	D		

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Common Stock	1,030.462	I	401(k) plan
Common Stock	3,125.419	I	401(k) excess plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 53.31	12/19/2013		M <sup>(4)</sup>	1,875 <sub>(4)</sub>	10/04/2011 <sup>(5)</sup> 10/04/2020	Common Stock	1,875
Employee Stock Option (Right to Buy)	\$ 42.91	12/19/2013		M <sup>(4)</sup>	6,990 <sub>(4)</sub>	04/03/2008 <sup>(5)</sup> 04/03/2017	Common Stock	6,990

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STEEVES FRANK L C/O EMERSON ELECTRIC CO. 8000 W. FLORISSANT AVE. ST. LOUIS, MO 63136			Exec. VP, Secy & Gen. Counsel	

## Signatures

/s/ John G. Shively, Attorney-in-Fact for Frank L.  
Steeves

12/20/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Bona fide gift of 1,000 shares to Reporting Person's daughter.
- (2) Bona fide gift by the Reporting Person of 1,000 shares to the Emerson Directors' and Officers' Charitable Trust.
- (3) Price is not applicable to acquisitions or dispositions resulting from bona fide gifts.
- (4) Exercise of incentive stock options exempt under Rule 16b-3.

When taken together with any previously exercised options and the unexercised options reported in this Form 4 having the same grant

- (5) date, exercise price and expiration date, all such options together vested in three equal annual installments beginning on the date indicated.
- (6) Price is not applicable to stock options received as incentive compensation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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