

Edgar Filing: HSBC HOLDINGS PLC - Form 6-K

HSBC HOLDINGS PLC
Form 6-K
April 02, 2008

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer
Pursuant to Rule 13a - 16 or 15d - 16 of
the Securities Exchange Act of 1934

For the month of April, 2008

HSBC Holdings plc

42nd Floor, 8 Canada
Square, London E14 5HQ, England

(Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F).

Form 20-F Form 40-F

(Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934).

Yes No

(If "Yes" is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-

NOTIFICATION OF TRANSACTIONS OF PERSONS DISCHARGING MANAGERIAL RESPONSIBILITY
AND/OR CONNECTED PERSONS

This notification relates to a transaction notified in accordance with DTR 3.1.2 R and 3.1.4 R (1)(a)

- 1 Name of the issuer
HSBC Holdings plc
- 2 Name of the person discharging managerial responsibilities or, where applicable, the name person connected with a person discharging managerial responsibilities
S T Gulliver, a person discharging managerial responsibilities
- 3 Description of shares (including class), debentures, derivatives or any other financial instrument relating to shares
US\$0.50 ordinary shares
- 4 State the nature of the transaction

Edgar Filing: HSBC HOLDINGS PLC - Form 6-K

Release of 2005 Performance Share award (EPS element) of 65,587 shares under the HSBC Share Plan. The trustees of the Plan sold 26,890 shares to meet the tax liability and have transferred the shares into the name of S T Gulliver.

- 5 Number of shares, derivatives or any other financial instruments relating to shares acquired. Acquisition of 38,697 shares from the release of 2005 Performance Share award (EPS element) under the HSBC Share Plan.
- 6 Number of shares, derivatives or any other financial instruments relating to shares disposed. 26,890
- 7 Price per share of shares sold. GBP8.558621
- 8 Date and place of transaction. 1 April 2008, London
- 9 Date issuer informed of transaction. 2 April 2008
- 10 Name of duly authorised officer/official of issuer responsible for making notification. Paul Stafford, Deputy Group Company Secretary, 020 7992 1509
- 11 Date of notification. 2 April 2008

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

HSBC Holdings plc

By:
Name: P A Stafford
Title: Assistant Group Secretary
Date: 02 April 2008

5.9% ----- (12) TYPE OF REPORTING PERSON* CO,
 IA ----- Page 2 of 10 pages CUSIP No. 59497240 13G
 Page 3 of 10 Pages ----- (1) NAMES OF
 REPORTING PERSONS. Robert M. Kommerstad S.S. OR I.R.S. IDENTIFICATION NOS. OF ABOVE PERSON
 (Mr. Kommerstad is no longer a reporting person. See Item 2.)
 ----- (2) CHECK THE APPROPRIATE BOX IF A
 MEMBER (A) // OF A GROUP* (B) // ----- (3) SEC
 USE ONLY ----- (4) CITIZENSHIP OR PLACE OF
 ORGANIZATION U.S.A. ----- NUMBER OF SHARES
 (5) SOLE VOTING POWER 0 BENEFICIALLY OWNED BY ----- EACH
 REPORTING (6) SHARED VOTING POWER 0 PERSON WITH ----- (7)
 SOLE DISPOSITIVE POWER 0 ----- (8) SHARED DISPOSITIVE POWER 0
 ----- (9) AGGREGATE AMOUNT BENEFICIALLY
 OWNED BY EACH REPORTING PERSON 0 IA OWNS 540420 SHARES OF COMMON STOCK. MR.

KOMMERSTAD IS NO LONGER A REPORTING PERSON.

----- (10) CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES* ----- (11) PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 0% ----- (12) TYPE OF REPORTING PERSON* Mr. Kommerstad is no longer a reporting person. See Item 2.

----- Page 3 of 10 pages ITEM 1. (a) NAME OF ISSUER: MICROSTRATEGY INC (b) ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES: 1861 International Dr, Mclean, VA 22102-4409 ITEM 2. (a) NAME OF PERSON FILING: This statement is being filed by (i) Provident Investment Counsel Inc., a Massachusetts corporation and registered investment adviser ("IA"), and (ii) Robert M. Kommerstad, a shareholder of IA's predecessor, Provident Investment Counsel, a California corporation which was formerly a registered investment adviser ("Former IA"). IA is continuing the business of Former IA, and is a subsidiary of United Asset Management Corp, which is owned by Old Mutual Plc which became effective September, 2000. Pursuant to an Acquisition Agreement by and among UAM, Former IA and IA, IA acquired substantially all of the assets of Former IA on February 15, 1995. (the "Acquisition"). IA's beneficial ownership of the Common Stock is direct as a result of IA's discretionary authority to buy, sell, and vote shares of such Common Stock for its investment advisory clients. Mr. Kommerstad, as a result of the Acquisition, no longer has beneficial ownership of any of the common stock, and is no longer a reporting person. (b) ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE: IA's Principal Business Office is located at: 300 North Lake Avenue, Pasadena, CA 91101-4106. (c) CITIZENSHIP: IA is a Massachusetts corporation. (d) TITLE OF CLASS OF SECURITIES: Class A Common Stock Page 4 of 10 pages (e) CUSIP NUMBER: 59497240 ITEM 3. IF THIS STATEMENT IS FILED PURSUANT TO RULE 13d-1(b), OR 13d-2(b), CHECK WHETHER THE PERSON FILING IS A : (a) // Broker or Dealer registered under Section 15 of the Act (b) // Bank as defined in section 3(a) (6) of the Act (c) // Insurance Company as defined in section 3(a) (19) of the Act (d) // Investment Company registered under section 8 of the Investment Company Act (e) /X/ Investment Adviser registered under section 203 of the Investment Advisers Act (f) // Employee Benefit Plan, Pension Fund which is subject to the provisions of the Employee Retirement Income Security Act of 1974 or Endowment Fund; see Section 240.13d-1(b) (1) (ii) (F) (g) // Parent Holding Company, in accordance with Section 240.13d-1(b) (ii) (G) (Note: See Item 7) (h) // Group, in accordance with Section 240.13d-1(b) (ii) (H) ITEM 4. OWNERSHIP (a) AMOUNT BENEFICIALLY OWNED: IA directly beneficially owns 540420 shares of Common Stock. (b) PERCENT OF CLASS: 5.9% (c) NUMBER OF SHARES AS TO WHICH SUCH PERSON HAS: (i) sole power to vote or to direct the vote: IA has the power to vote 503620 shares. No other person has the power to vote such shares. IA has no power to vote 36800 shares for which it has dispositive power. (ii) shared power to vote or to direct the vote: 0 Page 5 of 10 pages (iii) sole power to dispose or to direct the disposition of: IA has the power to dispose all 540420 shares for which it has direct beneficial ownership. It does not share this power with any other person. (iv) shared power to dispose or to direct the disposition of: 0 ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS As a result of the Acquisition, Mr. Kommerstad no longer owns any of the common stock. See Item 2 above. IA's beneficial ownership is described in Item 4 above. ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON IA, a registered investment adviser, has the right or the power to direct the receipt of dividends from Common Stock, and to direct the receipt of proceeds from the sale of Common Stock to IA's investment advisory clients. No single investment advisory client of IA owns more than 5% of the Common Stock. ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY Not applicable. ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP Not applicable. ITEM 9. NOTICE OF DISSOLUTION OF GROUP Not applicable. Page 6 of 10 pages ITEM 10. CERTIFICATION By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired in the ordinary course of business and were not acquired for the purpose of and do not have the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in any transaction having such purposed or effect. Page 7 of 10 pages SIGNATURE After reasonable inquiry and to the best knowledge and belief, I certify that the information set forth in this statement is true, complete and correct. MAY 10, 2003 PROVIDENT INVESTMENT COUNSEL, INC. By: /s/ Aaron Eubanks ----- Aaron Eubanks Managing Director and Chief Operating Officer >(D)Date

Exercisable Expiration Date Title Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Grassi Craig Anthony GENERAL AMERICAN INVESTORS COMPANY, INC. 100 PARK AVENUE - 35TH FLOOR NEW YORK, NY 10017			Vice-President	

Signatures

/s/ Craig Anthony
Grassi

02/15/2017

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) By Custodial Account for Nephew, Joseph David Grassi, under the Pennsylvania Uniform Transfers to Minors Act.
- (2) By Issuer's Employees' Thrift Plan Trust. The undersigned disclaims any beneficial interest in these shares.
- (3) Shares held by the undersigned's spouse in an IRA account.
- (4) By Custodial Account for son, Michael Leon Grassi, under the New Jersey Uniform Transfers to Minors Act.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.