

ServisFirst Bancshares, Inc.  
Form 4  
January 12, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Fuller Michael D.

(Last) (First) (Middle)

850 SHADES CREEK PARKWAY

(Street)

BIRMINGHAM, AL 35209

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ServisFirst Bancshares, Inc. [SFBS]

3. Date of Earliest Transaction (Month/Day/Year)

01/10/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| COMMON STOCK                    | 01/10/2017                           |  | M                              | V Amount (A) or (D) Price   | 60,000 A \$ 5 428,698 <sup>(1)</sup>  | D  |   |
| COMMON STOCK                    |                                      |  |                                |   | 869,936 <sup>(2)</sup>  | I  | By corporation owned by adult daughters               |
| COMMON STOCK                    |                                      |  |                                |   | 31,500 <sup>(3)</sup>   | I  | By spouse   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| STOCK OPTION (RIGHT TO BUY)                | \$ 5   | 01/10/2017                           |  | M                              | 600,000   | 11/28/2016 11/28/2021                                    | COMMON STOCK <sup>(4)</sup>                                   | 60,000                     |
| STOCK OPTION (RIGHT TO BUY)                | \$ 18.57   |                                      |  |                                |   | 06/15/2018 06/15/2023                                    | COMMON STOCK  | 13,000                     |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Fuller Michael D.<br>850 SHADES CREEK PARKWAY<br>BIRMINGHAM, AL 35209 |               |           | X       |       |

## Signatures

/s/ Davis S. Mange,  
attorney-in-fact

01/12/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 184,349 additional shares of

## Edgar Filing: ServisFirst Bancshares, Inc. - Form 4

ServisFirst Bancshares, Inc. common stock as of December 20, 2016.

(2) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 434,968 additional shares of ServisFirst Bancshares, Inc. common stock as of December 20, 2016.

(3) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 15,750 additional shares of ServisFirst Bancshares, Inc. common stock as of December 20, 2016.

(4) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 30,000 additional shares of ServisFirst Bancshares, Inc. common stock as of December 20, 2016.

(5) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 6,500 additional shares of ServisFirst Bancshares, Inc. common stock as of December 20, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.