

PEAPACK GLADSTONE FINANCIAL CORP

Form 8-K

January 25, 2018

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, D.C. 20549**

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**FORM 8-K**

**CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(d) OF THE**

**SECURITIES EXCHANGE ACT OF 1934**

**Date of report (Date of earliest event reported)** January 25, 2018

PEAPACK-GLADSTONE FINANCIAL CORPORATION

**(Exact Name of Registrant as Specified in Charter)**

New Jersey  
**(State or Other Jurisdiction  
of Incorporation)**

001-16197 22-3537895  
**(Commission (I.R.S. Employer  
File Number) Identification No.)**

500 Hills Drive, Suite 300, Bedminster, New Jersey  
**(Address of Principal Executive Offices)**

07921  
**(Zip Code)**

**Registrant's telephone number, including area code** (908) 234-0700

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On January 25, 2018, the Board of Directors of Peapack-Gladstone Financial Corporation (the “Company”), the holding company for Peapack-Gladstone Bank (the “Bank”), appointed Steven A. Kass to the Boards of Directors of the Company and the Bank. The Company’s Board of Directors has determined that Mr. Kass will serve as a member of the Audit Committee and the Trust Committee of the Board of Directors.

Mr. Kass is not a party to any transaction with the Company or the Bank that would require disclosure under Item 404(a) of Regulation S-K.

A press release announcing Mr. Kass’ appointment is included herewith as Exhibit 99.1 and is incorporated herein by reference.

**Item 9.01 Financial Statements and Exhibits.**

- (a) Financial Statements of Businesses Acquired: Not applicable
- (b) Pro Forma Financial Information: Not applicable
- (c) Shell Company Transactions: Not applicable
- (d) Exhibits

Number Description

99.1 Press Release dated January 25, 2018

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PEAPACK-GLADSTONE FINANCIAL  
CORPORATION

Dated: January 25, 2018 By: /s/ Jeffrey J. Carfora  
Name: Jeffrey J. Carfora  
Title: Senior Executive Vice President and  
Chief Financial Officer

**Exhibit Index**

Exhibit No. Title

99.1 Press release dated January 25, 2018