### Edgar Filing: BARNES THOMAS O - Form 4

BARNES T Form 4	THOMAS O													
August 31,	2017													
FORM	M 4 UNITED	STATES	SECU	IRITI	ES	AND E	XCH	ANGE	COMMISSIC			PPROVA	_	
Washington, D.C. 20549										•	MB umber:	3235-0		
Check t if no los	this box						Expires: January						y 31, 2005	
subject	t to SIAIE	MENT O	F CHA			N BENEI IRITIES		AL OV	<b>WNERSHIP O</b>	Es	stimated	average	.005	
Section Form 4	1 or				CC.	NI I IL.					urden hou esponse	•	0.5	
Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,														
may con	<i>See</i> Instruction 30(h) of the Investment Company Act of 1933 of Section 30(h)													
(Print or Type Responses)														
1. Name and BARNES '	1		nd Ticker o		ding	5. Relationship of Reporting Person(s) to Issuer								
(Last)	(First)	(Middle)							(Check all applicable)					
			(Month/	e of Earliest Transaction h/Day/Year)					_X_ Director 10% Owner					
BARNES STREET	GROUP INC., 12	23 MAIN	08/22/	2/2017					Officer (give title     Other (specify       below)     below)					
	(Street)					Date Origin	nal		6. Individual or Joint/Group Filing(Check					
			Filed(ivi	onth/Daj	lonth/Day/Year)					Form filed by One Reporting Person Form filed by More than One Reporting				
	., CT 06010								Form filed b Person	by More t	than One K	eporting		
(City)	(State)	(Zip)	Ta	ble I - I	Non	-Derivativ	e Sec	urities Ao	cquired, Disposed	d of, or	Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ed Date, if ny/Year)	3.4. Securities AcquiredTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5)(Instr. 8)				ed of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Owners Form: Direct or India (I)	rship Ow (In (D)	Nature of Indirect Benefi /nership str. 4)			
							(A) or		Transaction(s)	(Instr. 4	4)			
Common	08/22/2017			Code G		Amount 250		Price \$ 0	(Instr. 3 and 4) 342,549 (1)	D				
Stock														
Common Stock	08/30/2017			S		220	D	\$ 61.22	413,581 <u>(2)</u>	Ι	Tr	ust #42-0	)1-100-85465	
Common Stock	08/30/2017			S		30	D	\$ 61.22	75,898 (2)	Ι	Tr	ust #42-0	01-100-85507	
Common Stock	08/30/2017			S		70	D	\$ 61.22	160,929 <u>(2)</u>	Ι	Tr	ust #42-0	01-100-85507	
Common Stock	08/30/2017			S		200	D	\$ 61.22	482,133 <u>(2)</u>	Ι	Tr	ust #42-0	)1-100-85507	

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Common Stock	08/30/2017	S	185	D	\$ 61.22	410,878 <u>(2)</u>	Ι	Trust #42-01-100-85465
Common Stock	08/30/2017	S	50	D	\$ 61.22	84,018 <u>(2)</u>	I	Trust #42-01-100-85507
Common Stock						34,837.73	Ι	By 401(k) Plan
Common Stock						4,298.42 <u>(2)</u>	Ι	By my wife
Common Stock						39,221 <u>(2)</u>	Ι	Trust #42-01-100-85465
Common Stock						136,165 <u>(2)</u>	Ι	Trust #42-01-102-25482
Common Stock						83,664 <u>(2)</u>	Ι	Trust#42-01-102-25490.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

10% Owner Officer Other

Director

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BARNES THOMAS O BARNES GROUP INC. 123 MAIN STREET

#### BRISTOL, CT 06010

### Signatures

William K. Piotrowski, pursuant to a Power of Attorney

08/31/2017

<u>\*\*</u>Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a balance of 1021 Restricted Stock Units granted 2/7/2017 that are subject to forfeiture if certain events occur.
- (2) Reporting Person hereby disclaims beneficial ownership of shares held by his Wife, and the Trusts except to the extent of his pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.