## Edgar Filing: BOXLEY ABNEY S III - Form 4

| BOXLEY AI  | BNEY S III   |       |  |  |            |              |  |  |   |                 |  |  |
|--|--|-------|--|--|------------|--------------|--|--|---|-----------------|--|--|
| Form 4   |  |       |  |  |            |              |  |  |   |                 |  |  |
| January 03, 2  | .019   |       |  |  |            |              |  |  |   |                 |  |  |
| FORM   |  |       |  |  |            | OMB APPROVAL |  |  |   |                 |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 |  |       |  |  |            |              |  | OMB<br>Number:   | 3235-0287   |                 |  |  |
| Check this box   |  |       |  | 0 /  |            |              |  |  | Expires:  | January 31,     |  |  |
| if no longer<br>subject to STATEMENT OF CHA                                    |  |       |  | GES IN I   | BENEF      | <b>CIAL</b>  | OWN  | <b>ERSHIP OF</b>   | Estimated a   | 2005<br>average |  |  |
| Section 16.  |  |       |  | SECUR  | ITIES      |              |  |  | burden hour   |                 |  |  |
| Form 4 or  | •  |       |  |  |            |              |  |  | response  | 0.5             |  |  |
| Form 5<br>obligatior   | -  |       |  |  |            |              | -  | e Act of 1934,   |   |                 |  |  |
| may conti  |  |       |  | •  | •          | <b>•</b>     |  | 1935 or Section  | 1   |                 |  |  |
| <i>See</i> Instru<br>1(b).   |  | 30(h) | of the In  | vestment   | Compar     | y Act        | of 1940  | 0  |   |                 |  |  |
| (Print or Type R   | esponses)  |       |  |  |            |              |  |  |   |                 |  |  |
| BOXLEY ABNEY S III Sys   |  |       | Symbol   | 2. Issuer Name <b>and</b> Ticker or Trading<br>ymbol<br>RGC RESOURCES INC [RGCO] |            |              |  | 5. Relationship of Reporting Person(s) to Issuer                           |   |                 |  |  |
|  |  |       |  |  |            |              |  | (Check all applicable)   |   |                 |  |  |
|  |  |       |  | Earliest Tra   | ansaction  |              |  | V Director 100 Ormer   |   |                 |  |  |
| P.O. BOX 13007   |  |       | (Month/Day/Year)<br>01/02/2019   |  |            |              |  | X_ Director10% Owner<br>Officer (give titleOther (specify<br>below) below) |   |                 |  |  |
|  | (Street)   |       | 4. If Ame  | ndment, Da   | te Origina | l            |  | 6. Individual or Joint/Group Filing(Check                                  |   |                 |  |  |
|  |  |       |  | nth/Day/Year)  | -          |              |  | Applicable Line)   |   |                 |  |  |
| ROANOKE  | , VA 24030   |       |  |  |            |              |  | _X_ Form filed by O<br>Form filed by M<br>Person                           |   |                 |  |  |
| (City)   | (State)  | (Zip) |  |  |            |              |  |  |   |                 |  |  |
| (City)   | (blace)  | (Zip) | Tabl   | e I - Non-D  | erivative  | Securit      | ies Acqu                                       | ired, Disposed of  | , or Beneficial   | ly Owned        |  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date 2A. Deemed<br>(Month/Day/Year) Execution Date, if<br>any<br>(Month/Day/Year) |       | 3. 4. Securities Acquired<br>Transactior(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8) |  |            |              | Beneficially<br>Owned<br>Following<br>Reported | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)    | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                 |  |  |
|  |  |       |  | Code V   | Amoun      | or           | Price  | Transaction(s) (Instr. 3 and 4)  |   |                 |  |  |
| Common<br>Stock  | 01/02/2019   |       |  | A <u>(1)</u>   | 151.007    | Α            | \$<br>29.8                                     | 37,516.86 <u>(2)</u>   | D   |                 |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | tte 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | of<br>Deriv | vative<br>rities<br>uired<br>or<br>osed<br>O)<br>r. 3, |                     | ate                | Secur | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--------------------------------------|-------------|--|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | Code V                               | ′ (A)       | (D)  | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address       | Relationships |           |         |       |  |  |  |  |
|--------------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| 1                                    | Director      | 10% Owner | Officer | Other |  |  |  |  |
| BOXLEY ABNEY S III<br>P.O. BOX 13007 | Х             |           |         |       |  |  |  |  |
| ROANOKE, VA 24030<br>Signatures      |               |           |         |       |  |  |  |  |

Abney S. Boxley, III by Howard T. Lyon, POA dated 08/01/2018

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued to reporting person pursuant to an election under the Restricted Stock Plan for Outside Directors of RGC Resources, Inc.
- (2) Includes 1,125 shares owned indirectly through UTMA for the reporting person's children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

01/03/2019

Date