

Edgar Filing: NOGUES JEAN-LUC - Form 3

NOGUES JEAN-LUC
Form 3
September 03, 2002

FORM 3

OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(f) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person

Nogues Jean-Luc

(Last) (First) (Middle)
3819 Osuna NE

Albuquerque (Street) NM 87109

(City) (State) (Zip)
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2. Date of Event Requiring Statement (Month/Day/Year)

8/1/02

3. I.R.S Identification Number of Reporting Person, if an entity (voluntary)

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4. Issuer Name and Ticker or Trading Symbol

LPTH

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chief Technology Officer

6. If Amendment, Date of Original (Month/Year)

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7. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

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FORM 3 (continued)

Table I -- Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Beneficial (Instr. 5)
Common Stock	23,307	D	
Common Stock (1)	10,706	D	
Common Stock (1)	56,400	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

PERSONS WHO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.

Table II -- Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security
Stock Option	1/17/03 1/12	Common Stk 16000	3.33

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Explanation of Responses:

(1) Restricted Stock Awards which vest July 2003 through July 2007.

/s/ Jean-Luc Nogues	8/30/02
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**Signature of Reporting Person	Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

PERSONS WHO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.

1. Title of Derivative Security
 (Instr. 4) 2. Date Exercisable and Expiration Date
 (Month/Day/Year) 3. Title and Amount of Securities Underlying Derivative Security
 (Instr. 4) 4. Conversion or Exercise Price of Derivative Security 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I)
 (Instr. 5) 6. Nature of Indirect Beneficial Ownership
 (Instr. 5) Date Exercisable Expiration Date Title Amount or Number of Shares Class B Common Stock 1 1 Class A Common Stock 20,723,351 2 \$ 0 I See Footnote 2

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
F.L.P. Trust #11 71 S. WACKER DRIVE SUITE 4700 CHICAGO, IL 60606	Â	Â	Â	See Remarks

Signatures

/s/ Marshall E. Eisenberg, solely in his capacity as trustee of F.L.P. Trust #11	12/31/2013
__Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 As provided in the Issuer's Amended and Restated Certificate of Incorporation, each share of Class B Common Stock is convertible at any time, at the option of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon any transfer, whether or not for value, except for certain permitted transfers described in the Issuer's Amended and Restated Certificate of Incorporation.
- (1) Represents shares of Class B Common Stock held of record by THHC, L.L.C. The Reporting Person is the controlling member of THHC, L.L.C. and has voting and investment power with respect to the shares of Class B Common Stock held by THHC, L.L.C. The Reporting Person disclaims beneficial ownership of the shares of Class B Common Stock held by THHC, L.L.C., except to the extent of its proportionate pecuniary interest therein, if any.

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Remarks:

MemberÂ ofÂ 10%Â ownerÂ group.Â Â MarshallÂ E.Â EisenbergÂ servesÂ asÂ trusteeÂ ofÂ theÂ ReportingÂ PersonÂ an

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.