**FALCONE PHILIP** 

Form 3

September 08, 2009

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Spectrum Brands, Inc. [SPEB]

 **HARBINGER CAPITAL** PARTNERS MASTER FUND I,

(Month/Day/Year) 08/28/2009

LTD.

(Last)

(First) (Middle) 4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O INTERNATIONAL FUND SERVICES LIMITED, Â THIRD

FL, BISHOP'S SQUARE REDMOND'S HILL

(Street)

\_\_X\_\_ 10% Owner Director Officer \_X\_ Other (give title below) (specify below)

See Remarks

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_ Form filed by One Reporting

(Instr. 5)

\_X\_ Form filed by More than One

Reporting Person

DUBLIN, L2Â 00000

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership

Direct (D) or Indirect

(I) (Instr. 5)

Common Stock 9,157,561

Common Stock 1,992,805

 $D \frac{(1)}{(10)} \frac{(8)}{(9)}$ Common Stock 1,453,850

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not

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# required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	

## **Reporting Owners**

Reporting Owner Name / Address		Relationships			
		10% Owner	Officer	Other	
HARBINGER CAPITAL PARTNERS MASTER FUND I, LTD. C/O INTERNATIONAL FUND SERVICES LIMITED THIRD FL, BISHOP'S SQUARE REDMOND'S HILL DUBLIN, L2 00000	Â	ÂX	Â	See Remarks	
HARBINGER CAPITAL PARTNERS LLC 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	Â	ÂX	Â	See Remarks	
HARBINGER CAPITAL PARTNERS SPECIAL SITUATIONS FUND, L.P. 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	Â	ÂX	Â	See Remarks	
HARBINGER CAPITAL PARTNERS SPECIAL SITUATIONS GP, LLC 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	Â	ÂX	Â	See Remarks	
GLOBAL OPPORTUNITIES BREAKAWAY LTD. MAPLES CORPORATE SERVICES LIMITED PO BOX 309, UGLAND HOUSE GRAND CAYMAN, E9 KY1-1104	Â	ÂX	Â	See Remarks	
GLOBAL OPPORTUNITIES BREAKAWAY MANAGEMENT, L.P. 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	Â	ÂX	Â	See Remarks	
GLOBAL OPPORTUNITIES BREAKAWAY MANAGEMENT GP, L.L.C. 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	Â	ÂX	Â	See Remarks	
HARBINGER HOLDINGS, LLC 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	Â	ÂX	Â	See Remarks	

Reporting Owners 2

450 PARK AVENUE, 30TH FL NEW YORK, NY 10022	OOR	Â	ÂX	Â	See Remarks
Signatures					
Harbinger Capital Partners master Fund I, Ltd.(+) By: Harbinger Capital Partners LLC By: Harbinger Holdings, LLC, Managing Member By: /s/ Philip Falcone					09/08/2008
	**Signature of Reporting Person				Date
Harbinger Capital Partners LLC(+) By: Harbinger Holdings, LLC, Managing Member By: /s/ Philip Falcone					
	**Signature of Reporting Person				Date
	ial Situations Fund, L.P.(+) By: Harbinger C Harbinger Holdings, LLC, Managing Memb				09/08/2008
	**Signature of Reporting Person				Date
Harbinger Capital Partners Special Situations GP, LLC(+) By: Harbinger Holdings, LLC, Managing Member By: /s/ Philip Falcone				09/08/2008	
	**Signature of Reporting Person				Date
Global Opportunities Breakaway Ltd.(+) By: Global Opportunities Breakaway Management, L.P. By: Global Opportunities Breakaway Management GP, L.L.C., General Partner By: /s/ Philip Falcone				09/08/2008	
	**Signature of Reporting Person				Date
Global Opportunities Breakaway Management, L.P.(+) By: Global Opportunities Breakaway Management GP, L.L.C., General Partner By: /s/ Philip Falcone				09/08/2008	
	**Signature of Reporting Person				Date
Global Opportunities Breakaway Management GP, L.L.C.(+) By: /s/ Philip Falcone				09/08/2008	
	**Signature of Reporting Person				Date
Harbinger Holdings, LLC(+) By: /s/ Philip Falcone				09/08/2008	
	**Signature of Reporting Person				Date
/s/ Philip Falcone					09/08/2008
	**Signature of Reporting Person				Date

## **Explanation of Responses:**

FALCONE PHILIP

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - IMPORTANT NOTE: THE SECURITIES SET FORTH IN THIS REPORT ARE DIRECTLY BENEFICIALLY OWNED BY HARBINGER CAPITAL PARTNERS MASTER FUND I, LTD., HARBINGER CAPITAL PARTNERS SPECIAL SITUATIONS
- (1) FUND, L.P. AND/OR GLOBAL OPPORTUNITIES BREAKAWAY LTD. (COLLECTIVELY, THE "FUNDS"). ALL OTHER REPORTING PERSONS ARE INCLUDED WITHIN THIS REPORT DUE TO THEIR AFFILIATION WITH ONE OR MORE OF THE FUNDS.
- (2) These securities are owned by Harbinger Capital Partners Master Fund I, Ltd. (the "Master Fund"), which is a Reporting Person.
  - These securities may be deemed to be indirectly beneficially owned by the following, each of whom is a Reporting Person: Harbinger
- (3) Capital Partners LLC ("Harbinger LLC"), the investment manager of the Master Fund; Harbinger Holdings, LLC ("Harbinger Holdings"), the managing member of Harbinger LLC; and Philip Falcone, the managing member of Harbinger Holdings and the portfolio manager of the Master Fund.

Signatures 3

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- Each Reporting Person listed in Footnote 3 disclaims beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (5) These securities are owned by Harbinger Capital Partners Special Situations Fund, L.P. (the "Special Situations Fund"), which is a Reporting Person.
- These securities may be deemed to be indirectly beneficially owned by the following, each of whom is a Reporting Person: Harbinger Capital Partners Special Situations GP, LLC ("HCPSS"), the general partner of the Special Situations Fund; Harbinger Holdings, the managing member of HCPSS; and Philip Falcone, the managing member of Harbinger Holdings and the portfolio manager of the Special Situations Fund.
- Each Reporting Person listed in Footnote 6 disclaims beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (8) These securities are owned by Global Opportunities Breakaway Ltd. (the "Breakaway Fund"), which is a Reporting Person.
- These securities may be deemed to be indirectly beneficially owned by the following, each of whom is a Reporting Person: Global Opportunities Breakaway Management, L.P. ("Breakaway Management"), the investment manager of the Breakaway Fund; Global Opportunities Breakaway Management GP, L.L.C. ("Breakaway Management GP"), the general partner of Breakaway Management; and Philip Falcone, the managing member of Breakaway Management GP and the portfolio manager of the Breakaway Fund.
- Each Reporting Person listed in Footnote 9 disclaims beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

#### Â

#### Remarks:

(+) The Reporting Persons may be deemed to be members of a "group" for purposes of the Secu amended. Each Reporting Person disclaims beneficial ownership of any securities deemed to be owr directly owned by the Reporting Person. This report shall not be deemed an admission that suchÂ of a group or the beneficial owner of any securities not directly owned by such Reporting Person.

Mr. Terry L. Polistina serves on the board of directors of the Issuer and is the Chief Executive Â Inc., a company that is wholly owned by the Funds. As a result, the Reporting Persons may b by deputization.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.