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all holdings are reported by other reporting manager(s).)

[] 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 0

Form 13F Information Table Entry Total: 234

Form 13F Information Table Value Total: \$ 111,055
(thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report. NONE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 |
|------------------------------|-----------------|-----------|--------------------|--------------------|------------|--------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | Value (X\$1000) | SHRS OR PRN AMT | SH/ PRN | PUT/ CALL |
| ACXIOM CORP | COM | 005125109 | 1 | 61 | SH | |
| ADVANCED MICRO DEVICES INC | NOTE 5.750% 8/1 | 007903AN7 | 368 | 500,000 | PRN | |
| AFFILIATED MANAGERS GROUP | COM | 008252108 | 5 | 50 | SH | |
| AFFIRMATIVE INS HLDGS INC | COM | 008272106 | 1 | 99 | SH | |
| AFFYMETRIX INC | COM | 00826T108 | 6 | 600 | SH | |
| AIRGAS INC | COM | 009363102 | 9 | 150 | SH | |
| ALIGN TECHNOLOGY INC | COM | 016255101 | 7 | 704 | SH | |
| ALLSCRIPTS HEALTHCARE SOLUTI | COM | 01988P108 | 93 | 7,500 | SH | |
| ALUMINUM CORP CHINA LTD | SPON ADR H SHS | 022276109 | 23 | 800 | SH | |
| AMERICAN MED SYS HLDGS INC | COM | 02744M108 | 180 | 12,010 | SH | |
| AMERICAN MED SYS HLDGS INC | NOTE 3.250% 7/0 | 02744MAA6 | 5,583 | 5,726,000 | PRN | |
| AMERICAN TOWER CORP | CL A | 029912201 | 6 | 150 | SH | |
| AMERIGROUP CORP | NOTE 2.000% 5/1 | 03073TAB8 | 3,669 | 4,400,000 | PRN | |
| AMR CORP | COM | 001765106 | 3 | 600 | SH | |
| AMYLIN PHARMACEUTICALS INC | NOTE 3.000% 6/1 | 032346AF5 | 3,270 | 4,000,000 | PRN | |
| ANDERSONS INC | COM | 034164103 | 4 | 100 | SH | |
| ANHEUSER BUSCH COS INC | COM | 035229103 | 62 | 1,000 | SH | CALL |
| ANHEUSER BUSCH COS INC | COM | 035229103 | 1,056 | 17,000 | SH | PUT |
| ANHEUSER BUSCH COS INC | COM | 035229103 | 932 | 15,000 | SH | |
| ANNALY CAP MGMT INC | COM | 035710409 | 9 | 550 | SH | |
| APP PHARMACEUTICALS INC | COM | 00202H108 | 25 | 1,500 | SH | |
| APPLE INC | COM | 037833100 | 502 | 3,000 | SH | CALL |
| APPLE INC | COM | 037833100 | 837 | 5,000 | SH | PUT |
| APPLE INC | COM | 037833100 | 486 | 2,900 | SH | |
| ARM HLDGS PLC | SPONSORED ADR | 042068106 | 6 | 1,100 | SH | |
| ARRIS GROUP INC | COM | 04269Q100 | 5 | 600 | SH | |
| ASSURANT INC | COM | 04621X108 | 7 | 100 | SH | |

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|------------------------------|----------------|------------|-----------|-------|-----------|-----|------|
| ATLAS AMER INC | COM | | 049167109 | 18 | 400 | SH | |
| ATS MED INC | NOTE | 6.000%10/1 | 002083AB9 | 2,670 | 3,000,000 | PRN | |
| AXIS CAPITAL HOLDINGS | SHS | | G0692U109 | 9 | 300 | SH | |
| BARE ESCENTUALS INC | COM | | 067511105 | 2 | 100 | SH | |
| BARRICK GOLD CORP | COM | | 067901108 | 23 | 500 | SH | |
| BAYTEX ENERGY TR | TRUST UNIT | | 073176109 | 21 | 600 | SH | |
| BOEING CO | COM | | 097023105 | 131 | 2,000 | SH | |
| BUNGE LIMITED | COM | | G16962105 | 22 | 200 | SH | |
| CALIFORNIA PIZZA KITCHEN INC | COM | | 13054D109 | 46 | 4,074 | SH | |
| CAMERON INTERNATIONAL CORP | NOTE | 2.500% 6/1 | 13342BAB1 | 3,373 | 2,000,000 | PRN | |
| CARRIZO OIL & CO INC | NOTE | 4.375% 6/0 | 144577AA1 | 2,053 | 2,000,000 | PRN | |
| CENTRAL EUROPEAN DIST CORP | NOTE | 3.000% 3/1 | 153435AA0 | 1,270 | 1,000,000 | PRN | |
| CENTRAL FD CDA LTD | CL A | | 153501101 | 25 | 1,900 | SH | |
| CHICOS FAS INC | COM | | 168615102 | 3 | 600 | SH | |
| CHINA MED TECHNOLOGIES INC | SPONSORED ADR | | 169483104 | 25 | 500 | SH | |
| CIENA CORP | NOTE | 0.250% 5/0 | 171779AB7 | 3,718 | 4,500,000 | PRN | |
| CIMAREX ENERGY CO | COM | | 171798101 | 21 | 300 | SH | |
| CIT GROUP INC | COM | | 125581108 | 4 | 600 | SH | |
| CITIZENS REPUBLIC BANCORP IN | COM | | 174420109 | 2 | 600 | SH | |
| CLARCOR INC | COM | | 179895107 | 21 | 600 | SH | |
| COGNIZANT TECHNOLOGY SOLUTIO | CL A | | 192446102 | 8 | 250 | SH | |
| COMMSCOPE INC | COM | | 203372107 | 11 | 200 | SH | |
| COMPELLENT TECHNOLOGIES INC | COM | | 20452A108 | 115 | 10,000 | SH | |
| COMPLETE PRODUCTION SERVICES | COM | | 20453E109 | 29 | 800 | SH | |
| COMSCORE INC | COM | | 20564W105 | 22 | 1,000 | SH | |
| COMTECH TELECOMMUNICATIONS C | COM NEW | | 205826209 | 7 | 150 | SH | |
| CORE LABORATORIES LP | NOTE | 0.250%10/3 | 21868FAB9 | 7,048 | 4,500,000 | PRN | |
| CORE LABORATORIES N V | COM | | N22717107 | 1,167 | 8,200 | SH | |
| CORRECTIONS CORP AMER NEW | COM NEW | | 22025Y407 | 10 | 350 | SH | |
| COVANCE INC | COM | | 222816100 | 17 | 200 | SH | |
| COVANTA HLDG CORP | DBCV | 1.000% 2/0 | 22282EAA0 | 1,760 | 1,658,000 | PRN | |
| CREE INC | COM | | 225447101 | 203 | 8,887 | SH | |
| CVR ENERGY INC | COM | | 12662P108 | 2 | 100 | SH | |
| CVS CAREMARK CORPORATION | COM | | 126650100 | 138 | 3,500 | SH | |
| DELPHI FINL GROUP INC | CL A | | 247131105 | 6 | 250 | SH | |
| DELTA AIR LINES INC DEL | COM NEW | | 247361702 | 3 | 600 | SH | |
| DIGITAL RLTY TR INC | COM | | 253868103 | 8 | 200 | SH | |
| DIODES INC | COM | | 254543101 | 10 | 350 | SH | |
| DIODES INC | NOTE | 2.250%10/0 | 254543AA9 | 3,421 | 3,500,000 | PRN | |
| DOLLAR FINL CORP | COM | | 256664103 | 14 | 910 | SH | |
| DOLLAR FINL CORP | NOTE | 2.875% 6/3 | 256664AB9 | 731 | 1,000,000 | PRN | |
| DRS TECHNOLOGIES INC | COM | | 23330X100 | 874 | 11,100 | SH | CALL |
| E TRADE FINANCIAL CORP | COM | | 269246104 | 628 | 200,000 | SH | |
| EINSTEIN NOAH REST GROUP INC | COM | | 28257U104 | 2 | 201 | SH | |
| ELECTRONIC ARTS INC | COM | | 285512109 | 38 | 858 | SH | |
| ENERGY TRANSFER PRTRNS L P | UNIT LTD PARTN | | 29273R109 | 22 | 500 | SH | |
| ENPRO INDS INC | DBCV | 3.937%10/1 | 29355XAB3 | 133 | 100,000 | PRN | |
| EOG RES INC | COM | | 26875P101 | 328 | 2,500 | SH | CALL |
| EOG RES INC | COM | | 26875P101 | 656 | 5,000 | SH | PUT |
| EOG RES INC | COM | | 26875P101 | 459 | 3,500 | SH | |
| EQUINIX INC | NOTE | 3.000%10/1 | 29444UAG1 | 4,290 | 4,000,000 | PRN | |
| ESSEX PPTY TR INC | COM | | 297178105 | 11 | 100 | SH | |
| EV3 INC | COM | | 26928A200 | 82 | 8,700 | SH | |
| EVERGREEN SOLAR INC | COM | | 30033R108 | 10 | 1,000 | SH | |
| EXPEDIA INC DEL | COM | | 30212P105 | 37 | 2,000 | SH | PUT |
| EXPEDIA INC DEL | COM | | 30212P105 | 18 | 1,000 | SH | |
| FEDERAL HOME LN MTG CORP | COM | | 313400301 | 10 | 600 | SH | |

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|------------------------------|----------------|------------|-----------|-------|-----------|-----|------|
| FEDERAL NATL MTG ASSN | COM | | 313586109 | 13 | 687 | SH | |
| FINISAR | NOTE | 5.250%10/1 | 31787AAC5 | 4,950 | 5,000,000 | PRN | |
| FLOWERS FOODS INC | COM | | 343498101 | 9 | 300 | SH | |
| FMC TECHNOLOGIES INC | COM | | 30249U101 | 19 | 250 | SH | |
| FOSSIL INC | COM | | 349882100 | 10 | 346 | SH | |
| FOUNDATION COAL HLDGS INC | COM | | 35039W100 | 9 | 100 | SH | |
| FUEL SYS SOLUTIONS INC | COM | | 35952W103 | 23 | 600 | SH | |
| GAMESTOP CORP NEW | CL A | | 36467W109 | 40 | 1,000 | SH | |
| GENERAL CABLE CORP DEL NEW | COM | | 369300108 | 12 | 200 | SH | |
| GEORESOURCES INC | COM | | 372476101 | 13 | 700 | SH | |
| GREATBATCH INC | SDCV | 2.250% 6/1 | 39153LAB2 | 2,116 | 2,770,000 | PRN | |
| GUESS INC | COM | | 401617105 | 2,105 | 56,200 | SH | CALL |
| GUESS INC | COM | | 401617105 | 1,498 | 40,000 | SH | PUT |
| GUESS INC | COM | | 401617105 | 225 | 6,000 | SH | |
| HALLMARK FINL SVCS INC | EC COM NEW | | 40624Q203 | 1 | 100 | SH | |
| HASBRO INC | COM | | 418056107 | 21 | 600 | SH | |
| HERCULES TECH GROWTH CAP INC | COM | | 427096508 | 2 | 236 | SH | |
| HERSHEY CO | COM | | 427866108 | 161 | 4,900 | SH | CALL |
| HERSHEY CO | COM | | 427866108 | 66 | 2,000 | SH | PUT |
| HERSHEY CO | COM | | 427866108 | 66 | 2,000 | SH | |
| HIRERIGHT INC | COM | | 433538105 | 1 | 49 | SH | |
| HOLOGIC INC | COM | | 436440101 | 111 | 5,100 | SH | |
| HUGOTON RTY TR TEX | UNIT BEN INT | | 444717102 | 22 | 600 | SH | |
| HUNTSMAN CORP | COM | | 447011107 | 400 | 35,100 | SH | CALL |
| HUNTSMAN CORP | COM | | 447011107 | 171 | 15,000 | SH | PUT |
| HUNTSMAN CORP | COM | | 447011107 | 120 | 10,500 | SH | |
| ICONIX BRAND GROUP INC | NOTE | 1.875% 6/3 | 451055AB3 | 4,560 | 6,000,000 | PRN | |
| IDEX CORP | COM | | 45167R104 | 22 | 600 | SH | |
| IDEXX LABS INC | COM | | 45168D104 | 19 | 400 | SH | |
| IHS INC | CL A | | 451734107 | 14 | 200 | SH | |
| ILLUMINA INC | COM | | 452327109 | 17 | 200 | SH | |
| IMCLONE SYS INC | COM | | 45245W109 | 405 | 10,000 | SH | CALL |
| IMCLONE SYS INC | COM | | 45245W109 | 162 | 4,000 | SH | |
| INCYTE CORP | COM | | 45337C102 | 114 | 15,000 | SH | |
| INNOFOS HOLDINGS INC | COM | | 45774N108 | 26 | 800 | SH | |
| INTERNATIONAL SPEEDWAY CORP | CL A | | 460335201 | 23 | 600 | SH | |
| INTREPID POTASH INC | COM | | 46121Y102 | 3 | 50 | SH | |
| INVERNESS MED INNOVATIONS IN | COM | | 46126P106 | 647 | 19,500 | SH | CALL |
| INVERNESS MED INNOVATIONS IN | COM | | 46126P106 | 10 | 300 | SH | |
| ITC HLDGS CORP | COM | | 465685105 | 18 | 350 | SH | |
| ITT EDUCATIONAL SERVICES INC | COM | | 45068B109 | 25 | 300 | SH | |
| IXYS CORP | COM | | 46600W106 | 16 | 1,300 | SH | |
| JA SOLAR HOLDINGS CO LTD | NOTE | 4.500% 5/1 | 466090AA5 | 3,098 | 3,500,000 | PRN | |
| JABIL CIRCUIT INC | COM | | 466313103 | 10 | 600 | SH | |
| KINDER MORGAN ENERGY PARTNER | UT LTD PARTNER | | 494550106 | 22 | 400 | SH | |
| KOPPERS HOLDINGS INC | COM | | 50060P106 | 8 | 200 | SH | |
| KRISPY KREME DOUGHNUTS INC | COM | | 501014104 | 1 | 200 | SH | |
| LABORATORY CORP AMER HLDGS | COM NEW | | 50540R409 | 10 | 150 | SH | |
| LANDSTAR SYS INC | COM | | 515098101 | 8 | 150 | SH | |
| LASALLE HOTEL PPTYS | COM SH BEN INT | | 517942108 | 6 | 250 | SH | |
| LAWSON SOFTWARE INC NEW | NOTE | 2.500% 4/1 | 52078PAA0 | 2,749 | 3,000,000 | PRN | |
| LEAPFROG ENTERPRISES INC | CL A | | 52186N106 | 45 | 5,400 | SH | |
| LEGG MASON INC | UNIT | 99/99/9999 | 524901303 | 210 | 5,000 | SH | |
| LEHMAN BROS HLDGS INC | COM | | 524908100 | 119 | 6,000 | SH | PUT |
| LEHMAN BROS HLDGS INC | 7.25%CONV SR P | | 52523J453 | 321 | 400 | SH | |
| LIONS GATE ENTMTNT CORP | COM NEW | | 535919203 | 26 | 2,500 | SH | |
| LKQ CORP | COM | | 501889208 | 16 | 900 | SH | |
| LOGITECH INTL S A | SHS | | H50430232 | 8 | 300 | SH | |
| LSI CORPORATION | COM | | 502161102 | 6 | 932 | SH | |
| LUMBER LIQUIDATORS INC | COM | | 55003Q103 | 130 | 10,000 | SH | |
| MAGMA DESIGN AUTOMATION | NOTE | 2.000% 5/1 | 559181AC6 | 2,410 | 2,869,000 | PRN | |
| MCCORMICK & SCHMICKS SEAFD R | COM | | 579793100 | 17 | 1,800 | SH | |

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|------------------------------|----------------|------------|-----------|-------|-----------|-----|------|
| MCMORAN EXPLORATION CO | COM | | 582411104 | 33 | 1,200 | SH | |
| MEDAREX INC | COM | | 583916101 | 4 | 600 | SH | |
| MEDIVATION INC | COM | | 58501N101 | 56 | 4,700 | SH | |
| MERRILL LYNCH & CO INC | COM | | 590188108 | 412 | 13,000 | SH | CALL |
| MERRILL LYNCH & CO INC | COM | | 590188108 | 381 | 12,000 | SH | PUT |
| MERRILL LYNCH & CO INC | COM | | 590188108 | 48 | 1,500 | SH | |
| METABOLIX INC | COM | | 591018809 | 74 | 7,600 | SH | |
| MICROSOFT CORP | COM | | 594918104 | 275 | 10,000 | SH | |
| MOBILE MINI INC | COM | | 60740F105 | 24 | 1,200 | SH | |
| MOTOROLA INC | COM | | 620076109 | 5 | 626 | SH | |
| | | | | | | | |
| NABORS INDUSTRIES LTD | SHS | | G6359F103 | 25 | 500 | SH | |
| NICE SYS LTD | SPONSORED ADR | | 653656108 | 6 | 200 | SH | |
| NIGHTHAWK RADIOLOGY HLDGS IN | COM | | 65411N105 | 13 | 1,852 | SH | |
| NMS COMMUNICATIONS CORP | COM | | 629248105 | 67 | 60,000 | SH | |
| NORTHWEST AIRLS CORP | COM | | 667280408 | 4 | 600 | SH | |
| NOVATEL WIRELESS INC | COM NEW | | 66987M604 | 7 | 600 | SH | |
| NOVO-NORDISK A S | ADR | | 670100205 | 20 | 300 | SH | |
| NYSE EURONEXT | COM | | 629491101 | 2,809 | 55,440 | SH | |
| OGE ENERGY CORP | COM | | 670837103 | 22 | 700 | SH | |
| OIL STS INTL INC | COM | | 678026105 | 13 | 200 | SH | |
| OLYMPIC STEEL INC | COM | | 68162K106 | 30 | 400 | SH | |
| OMNICELL INC | COM | | 68213N109 | 8 | 600 | SH | |
| ON SEMICONDUCTOR CORP | NOTE | 4/1 | 682189AE5 | 5,500 | 5,000,000 | PRN | |
| OSI PHARMACEUTICALS INC | NOTE | 2.000%12/1 | 671040AF0 | 378 | 250,000 | PRN | |
| P F CHANGS CHINA BISTRO INC | COM | | 69333Y108 | 322 | 14,400 | SH | |
| PAETEC HOLDING CORP | COM | | 695459107 | 10 | 1,500 | SH | |
| PAREXEL INTL CORP | COM | | 699462107 | 24 | 900 | SH | |
| PATRIOT CAPITAL FUNDING INC | COM | | 70335Y104 | 6 | 946 | SH | |
| PERMIAN BASIN RTY TR | UNIT BEN INT | | 714236106 | 24 | 900 | SH | |
| PETROLEUM DEV CORP | COM | | 716578109 | 20 | 300 | SH | |
| PHARMERICA CORP | COM | | 71714F104 | 2,236 | 99,000 | SH | CALL |
| POWERSHARES QQQ TRUST | UNIT SER 1 | | 73935A104 | 452 | 10,000 | SH | PUT |
| POWERSHARES QQQ TRUST | UNIT SER 1 | | 73935A104 | 452 | 10,000 | SH | |
| PRECISION DRILLING TR | TR UNIT | | 740215108 | 25 | 900 | SH | |
| PROSHARES TR | ULTRASHT SP500 | | 74347R883 | 500 | 7,500 | SH | |
| QUALCOMM INC | COM | | 747525103 | 244 | 5,500 | SH | |
| QUANEX BUILDING PRODUCTS COR | COM | | 747619104 | 6 | 400 | SH | |
| RALCORP HLDGS INC NEW | COM | | 751028101 | 25 | 500 | SH | |
| RIVERBED TECHNOLOGY INC | COM | | 768573107 | 8 | 600 | SH | |
| ROYAL BK SCOTLAND GROUP PLC | SP ADR L RP PF | | 780097788 | 48 | 3,000 | SH | |
| RPC INC | COM | | 749660106 | 18 | 1,100 | SH | |
| RUBY TUESDAY INC | COM | | 781182100 | 3 | 600 | SH | |
| SALESFORCE COM INC | COM | | 79466L302 | 68 | 1,000 | SH | |
| SALIX PHARMACEUTICALS INC | COM | | 795435106 | 176 | 25,000 | SH | |
| SELECT SECTOR SPDR TR | SBI INT-FINL | | 81369Y605 | 906 | 44,700 | SH | PUT |
| SELECT SECTOR SPDR TR | SBI INT-FINL | | 81369Y605 | 956 | 47,200 | SH | |
| SESI L L C | NOTE | 1.500%12/1 | 78412FAH7 | 4,006 | 2,976,000 | PRN | |
| SI INTL INC | COM | | 78427V102 | 6 | 300 | SH | |
| SIGNATURE BK NEW YORK N Y | COM | | 82669G104 | 6 | 250 | SH | |
| SILICON IMAGE INC | COM | | 82705T102 | 24 | 3,300 | SH | |
| SLM CORP | COM | | 78442P106 | 10 | 500 | SH | |
| SMITH MICRO SOFTWARE INC | COM | | 832154108 | 1 | 100 | SH | |
| SNAP ON INC | COM | | 833034101 | 12 | 230 | SH | |
| SONOSITE INC | NOTE | 3.750% 7/1 | 83568GAA2 | 2,513 | 2,500,000 | PRN | |
| SOUTHWESTERN ENERGY CO | COM | | 845467109 | 14 | 300 | SH | |
| SOVEREIGN BANCORP INC | COM | | 845905108 | 4 | 500 | SH | |
| SPDR TR | UNIT SER 1 | | 78462F103 | 38 | 300 | SH | |

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|------------------------------|------------------|------|-----------|-------|-----------|-----|-----|
| STARENT NETWORKS CORP | COM | | 85528P108 | 91 | 7,264 | SH | |
| SWITCH & DATA FACILITIES COM | COM | | 871043105 | 56 | 3,300 | SH | |
| TALBOTS INC | COM | | 874161102 | 35 | 3,000 | SH | |
| TARGET CORP | COM | | 87612E106 | 418 | 9,000 | SH | PUT |
| TARGET CORP | COM | | 87612E106 | 418 | 9,000 | SH | |
| TEAM INC | COM | | 878155100 | 7 | 200 | SH | |
| TEMPLE INLAND INC | COM | | 879868107 | 6 | 500 | SH | |
| TETRA TECH INC NEW | COM | | 88162G103 | 23 | 1,000 | SH | |
| TIME WARNER INC | COM | | 887317105 | 15 | 1,000 | SH | |
| TOMOTHERAPY INC | COM | | 890088107 | 45 | 5,000 | SH | |
| TRACTOR SUPPLY CO | COM | | 892356106 | 189 | 6,500 | SH | |
| TRIZETTO GROUP INC | COM | | 896882107 | 279 | 13,043 | SH | |
| U S AIRWAYS GROUP INC | COM | | 90341W108 | 2 | 600 | SH | |
| UAL CORP | COM NEW | | 902549807 | 3 | 500 | SH | |
| UCBH HOLDINGS INC | COM | | 90262T308 | 1 | 500 | SH | |
| UNION PAC CORP | COM | | 907818108 | 189 | 2,500 | SH | PUT |
| VITAL IMAGES INC | COM | | 92846N104 | 25 | 1,971 | SH | |
| W & T OFFSHORE INC | COM | | 92922P106 | 23 | 400 | SH | |
| WACHOVIA CORP NEW | CONV7.5%PFD CL A | | 929903219 | 441 | 500 | SH | |
| WALTER INDS INC | COM | | 93317Q105 | 22 | 200 | SH | |
| WISCONSIN ENERGY CORP | COM | | 976657106 | 9 | 200 | SH | |
| WONDER AUTO TECHNOLOGY INC | COM | | 978166106 | 7 | 1,000 | SH | |
| XM SATELLITE RADIO HLDGS INC | CL A | | 983759101 | 731 | 93,200 | SH | PUT |
| XM SATELLITE RADIO HLDGS INC | CL A | | 983759101 | 731 | 93,200 | SH | |
| XTENT INC | COM | | 984141101 | 78 | 31,216 | SH | |
| YAHOO INC | COM | | 984332106 | 413 | 20,000 | SH | PUT |
| YAHOO INC | COM | | 984332106 | 10 | 500 | SH | |
| YINGLI GREEN ENERGY HLDG CO | ADR | | 98584B103 | 398 | 25,000 | SH | |
| YINGLI GREEN ENERGY HLDG CO | NOTE | 12/1 | 98584BAA1 | 2,348 | 2,750,000 | PRN | |
| ZORAN CORP | COM | | 98975F101 | 236 | 20,152 | SH | |
| ZUMIEZ INC | COM | | 989817101 | 4 | 221 | SH | |