### Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4

September 13, 2002

OMB APPROVAL
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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

#### FORM 4

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

O Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue.
See Instruction 1(b).

•	Name and Address of Reporting Person* (Last, First, Middle)  Krause, Robert A.  c/o American Axle & Manufacturing Holdings, Inc. 1840 Holbrook Avenue  (Street)  Detroit, MI 48212			2.	Trading Symbol				I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)		
				•		rican Axle & Manufa ings, Inc (NYSE-AX					
				4. Statement for Month/Day/Year 9/12/02		y/Year	5.	If Amendment, Date of Original (Month/Day/Year)			
				6.		Relationship of Reporting Person(s) to Issuer (Check All Applicable)			Individual or Joint/Group Filing (Check Applicable Line)		
				-	0	Director <sub>O</sub>	10% Owner		X	Form filed by One Reporting Person	
	(City)	(State)	(Zip)		x o	Officer (give title Other (specify be	,		o	Form filed by More than One Reporting Person	
						Vice President, l Relations	Investor				

If the form is filed by more than one reporting person, see instruction 4(b)(v).

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Title of Security (Instr. 3)	2. Transaction Date 2a (Month/Day/Year)	a. Deemed Execution Date, if any. (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 and 4)		Ownership 7. Form: Direct (D) or Indirect (I) (Instr. 4)	Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V		Amount	(A) or (D)	Price				
Common Stock	9/12/02		M		7,052	A	\$4.26	18,652		D	

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Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
l <b>.</b>	Title of Derivative 2. Security (Instr. 3)	Conversion or Exercise 3. Price of Derivative Security	Transaction Date (Month/Day/Year)	3a. Deemed Execution 4. Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				
					Code V	(A)	( <b>D</b> )			
	Employee Stock Option (right to buy)	\$4.26	9/12/02		M		7,052			
				Page 3						

	Tal	ole II Dei				d of, or Beneficially convertible securiti		
6. Date Exerc Expiration (Month/Day	Date	Title and A of Underlyi Securities (Instr. 3 and	ing	8. Price of Derivative Security (Instr. 5)		erivative neficially Owned ported Transaction(s)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Date Exercisable	Expiration e Date		amount or Number of Shares					
(1)	10/29/09	Common Stock	7,052			78,028	D	
Explanation	of Response	s:						
(1)On Octobe	er 29, 1997, the of the grant of	ne reporting or in five eq	ual annual	installments	s beginning Dec		common stock. The option ect to the Company's satisfathis award.	
		/s/ Michae	el K. Simo	nte	9/13/02			
	-	**Signatur	e of Repor	ting	Date	<del></del>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).