#### Edgar Filing: HONEYWELL INTERNATIONAL INC - Form 3

#### HONEYWELL INTERNATIONAL INC

Form 3

April 11, 2016

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement HONEYWELL INTERNATIONAL INC [HON] Gautam Rajeev (Month/Day/Year) 04/04/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 115 TABOR ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) MORRIS PLAINS, ÂNJÂ 07950 Form filed by More than One Pres. and CEO, PMT Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 18,456 Common Stock 1,274 I Held in 401(k) plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (right to buy)	(1)	02/28/2022	Common Stock	4,000	\$ 59.87	D	Â
Employee Stock Options (right to buy)	(2)	02/26/2023	Common Stock	8,500	\$ 69.77	D	Â
Employee Stock Options (right to buy)	(3)	02/26/2024	Common Stock	17,000	\$ 93.97	D	Â
Employee Stock Options (right to buy)	(4)	02/25/2025	Common Stock	20,000	\$ 103.9	D	Â
Employee Stock Options (right to buy)	(5)	02/24/2026	Common Stock	22,000	\$ 103.65	D	Â
Restricted Stock Units	(6)	(6)	Common Stock	20,000	\$ <u>(7)</u>	D	Â
Restricted Stock Units	(8)	(8)	Common Stock	2,840	\$ <u>(7)</u>	D	Â
Restricted Stock Units	(9)	(9)	Common Stock	3,340	\$ <u>(7)</u>	D	Â
Restricted Stock Units	(10)	(10)	Common Stock	3,670	\$ <u>(7)</u>	D	Â
Restricted Stock Units	(11)	(11)	Common Stock	6,700	\$ <u>(7)</u>	D	Â
Supplemental Savings Plan Interests	(12)	(12)	Common Stock	1,568	\$ (12)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Treporting O Whor I want of I want on	Director	10% Owner	Officer	Other		
Gautam Rajeev 115 TABOR ROAD MORRIS PLAINS, NJ 07950	Â	Â	Pres. and CEO, PMT	Â		

### **Signatures**

Jacqueline Katzel for Rajeev 04/11/2016 Gautam Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan and are fully vested.
- (2) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 4,250 options fully vested and 4,250 options that will vest on February 27, 2017.
- (3) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 8,500 options fully vested and 4,250 options that will vest on each of February 27, 2017 and February 27, 2018.
- The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 5,000 options fully vested and 5,000 options that will vest on each of February 26, 2017, February 26, 2018 and February 26, 2019.
- (5) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan and vest in four equal annual installments with the first installment of 5,500 options vesting on February 25, 2017.
- The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on December 31, 2017.
- (7) Instrument converts to common stock on a one-for one basis.
- (8) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on February 27, 2017.
- (9) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on February 26, 2018.
- (10) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on February 25, 2019.
- (11) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with 3,300 units vesting on July 29, 2016 and 3,400 units vesting on July 29, 2018.
- (12) Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3 on April 4, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.