

Edgar Filing: StoneCastle Financial Corp. - Form NSAR-B

StoneCastle Financial Corp.
Form NSAR-B
March 02, 2015

PAGE 1
000 B000000 12/31/2014
000 C000000 0001578987
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 StoneCastle Financial Corp.
001 B000000 811-22853
001 C000000 2123546500
002 A000000 152 West 57th Street, 35th Floor
002 B000000 New York
002 C000000 NY
002 D010000 10019
003 000000 N
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005 000000 N
006 000000 N
007 A000000 N
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007 C010100 1
008 A000001 StoneCastle Asset Management LLC
008 B000001 A
008 C000001 801-77433
008 D010001 New York
008 D020001 NY
008 D030001 10019
010 A000001 BNY Mellon
010 B000001 84-01761
010 C010001 Boston
010 C020001 MA
010 C030001 02108
011 A000001 Keefe, Bruyette & Woods, Inc.
011 B000001 8-10888
011 C010001 New York
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012 A000001 Computershare Trust Company, N.A.
012 B000001 84-05925
012 C010001 Canton
012 C020001 MA
012 C030001 02021
013 A000001 KPMG LLP
013 B010001 Roseland
013 B020001 NJ
013 B030001 07068
014 A000001 StoneCastle Securities, LLC
014 B000001 8-66434
015 A000001 BNY Mellon
PAGE 2
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019 A000000 N
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020 A000001 GUGGENHEIM CAPITAL MARKETS, LLC
020 C000001 23
020 A000002 FIDELITY CAPITAL MARKETS
020 B000002 04-3523439
020 C000002 19
020 A000003 FIG PARTNERS
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020 A000004 BNY Capital Markets
020 B000004 13-3878175
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020 A000005 COMPASS BANK TRUST DIVISION
020 C000005 1
021 000000 60
022 A000001 SANDLER O'NEILL & PARTNERS
022 B000001 13-3906061
022 C000001 39380
022 D000001 6095
022 A000002 STIFEL, NICOLAUS & CO., INC.
022 B000002 43-0538770
022 C000002 25953
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022 A000003 CASTLE CREEK
022 B000003 00-0000000
022 C000003 14633
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022 A000004 BANK OF AMERICA CORP
022 B000004 56-0906609
022 C000004 13875
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022 A000006 KATAHDIN ASSET MANAGEMENT, LLC
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022 A000007 GUGGENHEIM CAPITAL MARKETS, LLC
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PAGE 3
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| 087 | A010000 | Common Stock |
| 087 | A020000 | 861780104 |
| 087 | A030000 | BANX |
| SIGNATURE | PATRICK J. FARRELL | |
| TITLE | CFO | |