BALL CORP Form 8-K November 03, 2016

# SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

#### FORM 8-K

Current Report Pursuant to Section 13 or 15(D) of the Securities Exchange Act of 1934

#### November 3, 2016

(Date of earliest event reported)

#### **BALL CORPORATION**

(Exact name of Registrant as specified in its charter)

Indiana 001-07349 35-0160610 (State of (Commission (IRS Employer Incorporation) File No.) Identification No.)

#### 10 Longs Peak Drive, P.O. Box 5000, Broomfield, CO 80021-2510

(Address of principal executive offices, including ZIP Code)

#### (303) 469-3131

(Registrant's telephone number, including area code)

#### Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Ball Corporation Current Report on Form 8-K Dated November 3, 2016

Item 2.02. Results of Operations and Financial Condition.

On November 3, 2016, Ball Corporation (the "Company") issued a press release announcing its third quarter earnings for 2016, which results are set forth in the press release dated November 3, 2016, and attached hereto as Exhibit 99.1.

Earnings information regarding the third quarter, as well as information regarding the use of non-GAAP financial measures, are set forth in the attached press release.

The information in this Report shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, (the "Exchange Act") or otherwise subject to the liability of that section, and shall not be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such filing.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

The following is furnished as an exhibit to this report:

Exhibit 99.1 Ball Corporation Press Release dated November 3, 2016.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

BALL CORPORATION (Registrant)

By:/s/ Scott C. Morrison Name: Scott C. Morrison

Title: Senior Vice President and Chief Financial Officer

Date: November 3, 2016

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## **EXHIBIT INDEX**

Description Exhibit

Ball Corporation Press Release dated November 3, 2016. 99.1