Edgar Filing: Kuritzkes Andrew P - Form 4

Kuritzkes A Form 4	ndrew P										
February 20	, 2019										
FORM	14								OMB AF	PPROVAL	
	UNITE	D STATES		AITIES A Shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Section 16. Form 4 or Form 5 Filed pursuant to			F CHANGES IN BENEFICIAL OWNERSH SECURITIES Section 16(a) of the Securities Exchange Act of Public Utility Holding Company Act of 1935 o						Expires: January 3 20 Estimated average burden hours per response 0		
See Instr 1(b).		30(h)	of the In	vestment	Compar	ny Ac	t of 194	10			
(Print or Type]	Responses)										
1. Name and Address of Reporting Person <u>*</u> Kuritzkes Andrew P			2. Issuer Name and Ticker or Trading Symbol STATE STREET CORP [STT]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) STATE STREET CORPORATION, ONE LINCOLN			3. Date of Earliest Transaction(Month/Day/Year)02/15/2019					Director 10% Owner X Officer (give title Other (specify below) EVP and Chief Risk Officer			
STREET											
DOCTON	(Street)	Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
BOSTON, I	MA 02111							Person		1 6	
(City)	(State)	(Zip)	Tabl	e I - Non-E	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		on Date, if	Code (Instr. 3, 4 and 5) (Instr. 8) (A)				Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	02/15/2019			Code V F	Amount 4,858 (1)	or (D) D	Price \$ 70.66	(Instr. 3 and 4) 80,716 (2)	D		
Common Stock	02/19/2019			S <u>(3)</u>	7,689	D	\$ 69.97 (4)	73,027 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Kuritzkes Andrew P STATE STREET CORPORATION ONE LINCOLN STREET BOSTON, MA 02111			EVP and Chief Risk Officer				
Signatures							
/s/ Shannon C. Stanley,		02/20/2019					

Attorney-in-fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were withheld to satisfy tax withholding obligations in connection with the vesting of previously awarded deferred stock.
- (2) The balance reflects the amount of shares beneficially owned, including shares received due to dividend reinvestment, as of the date of this report.
- (3) The sale reported in this Form 4 was effected pursuant to Rule 10b5-1 trading plans adopted by the reporting person on February 27, 2017 and February 28, 2018.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$69.45 through \$70.37, inclusive. The reporting person undertakes to provide to State Street Corporation, any security holder of State Street

(4) Introduct of state state

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.