

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP

Form 4

April 09, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Harbert, Timothy B.  
 State Street Corporation  
 225 Franklin Street  
 Boston, MA 02110
2. Issuer Name and Ticker or Trading Symbol  
 State Street Corporation  
 STT
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 2/28/02
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 Executive Vice President
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	5. Amount of Securities Beneficially Owned at End of Month
----------------------	---------------------	---------	--	--

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities	8. Percentage of Total Ownership
---------------------------------	--	---------------------	---------	---	--	--	----------------------------------

Employee Stock Option (right to buy)	49.705	2/21/02	A(1)V	10,700	A 2/21/04 2/20/12	Common Stock	10,700
Employee Stock Option	9.3125				2/17/16	Common Stock	6,184

Edgar Filing: STATE STREET CORP - Form 4

(right to buy)							99	04			
Employee Stock Option (right to buy)	8.1250						12/16/00	12/15/05	Common Stock	8,120	
Employee Stock Option (right to buy)	13.20315						6/20/01	6/20/06	Common Stock	8,000	
Employee Stock Option (right to buy)	15.8750						12/19/97-	12/18/06	Common Stock	80,000	
							12/19/99				
Employee Stock Option (right to buy)	33.71875						12/17/99-	12/16/08	Common Stock	27,480	
							12/17/01				
Employee Stock Option (right to buy)	34.64065						12/16/00-	12/15/09	Common Stock	24,920	
							12/16/02				
Employee Stock Option (right to buy)	60.7375						12/21/01-	12/20/10	Common Stock	54,200	
							12/21/03				
Employee Stock Option (right to buy)	51.975						12/20/02-	12/19/11	Common Stock	128,800	
							12/20/04				

Explanation of Responses:

(1) Employee stock option granted pursuant to State Street Corporation 1997 Equity Incentive Plan.

SIGNATURE OF REPORTING PERSON

/s/Timothy B. Harbert

DATE

4/9/02