

CREDIT SUISSE FIRST BOSTON/
Form 4
July 10, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF
CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

OMB Number: 3235-0287
Expires: December 31, 2001
Estimated average burden hours per response 0.5

(Print or Type Responses)

| | | | | | | |
|--|---------|----------|---|-----------------------------|---|--|
| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol | | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) | |
| Credit Suisse First Boston, on behalf of the investment banking business of Credit Suisse First Boston business unit | | | Duane Reade Inc. (DRD) | | <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) | |
| (Last) | (First) | (Middle) | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) | 4. Statement for Month/Year | | |
| 11 Madison Avenue | | | | June 2002 | | |
| (Street) | | | | | 7. Individual or Joint/Group Filing (Check Applicable Line) | |
| New York, | NY | 10010 | 5. If Amendment, Date of Original (Month/Year) | | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |
| (City) | (State) | (Zip) | | | | |

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---|--------------------------------------|--------------------------------|---|---|---|--|-----------------------------------|
| | | | Code | V | | | |
| Common Stock, par value \$.01 per share | 6/20/02 | J(19) | 472,444 | D | 977,495 | I | (1)(12)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(19) | 18,815 | D | 38,928 | I | (2)(12)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(19) | 23,232 | D | 48,069 | I | (3)(12)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(19) | 27,621 | D | 57,149 | I | (4)(13)(15)(17)(18) |
| | 6/20/02 | J(19) | 10,258 | D | 21,222 | I | (5)(13)(15)(17)(18) |

Edgar Filing: CREDIT SUISSE FIRST BOSTON/ - Form 4

| | | | | | | | |
|---|---------|-------|----------|---------|---------|---|-------------------------|
| Common Stock, par value \$.01 per share | 6/20/02 | J(19) | 7,639 D | | 15,804 | I | (6)(12)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(19) | 1,490 D | | 3,082 | I | (7)(12)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(19) | 2,121 D | | 4,390 | I | (8)(12)(14)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/03/02 | S | 106 D | \$33.14 | | I | (9)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/04/02 | S | 5,535 D | \$32.19 | | I | (9)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/05/02 | S | 17,543 D | \$32.27 | | I | (9)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/06/02 | S | 6,003 D | \$32.51 | | I | (9)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/07/02 | S | 22,524 D | \$32.61 | | I | (9)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/10/02 | S | 32,169 D | \$33.48 | 257,430 | I | (9)(15)(17)(18) |
| Common Stock, par value \$.01 per share | 6/03/02 | S | 114 D | \$33.14 | | I | (10)(14)(17)(18) |
| Common Stock, par value \$.01 per share | 6/04/02 | S | 5,939 D | \$32.19 | | I | (10)(14)(17)(18) |
| Common Stock, par value \$.01 per share | 6/05/02 | S | 18,822 D | \$32.27 | | I | (10)(14)(17)(18) |
| Common Stock, par value \$.01 per share | 6/06/02 | S | 6,441 D | \$32.51 | | I | (10)(14)(17)(18) |
| Common Stock, par value \$.01 per share | 6/07/02 | S | 24,167 D | \$32.61 | | I | (10)(14)(17)(18) |
| Common Stock, par value \$.01 per share | 6/10/02 | S | 34,517 D | \$33.48 | 276,211 | I | (10)(14)(17)(18) |
| Common Stock, par value \$.01 per share | 6/03/02 | S | 16 D | \$33.14 | | I | (11)(16)(17)(18) |

Edgar Filing: CREDIT SUISSE FIRST BOSTON/ - Form 4

| | | | | | | | |
|---|---------|-------|----------|---------|--------|---|------------------|
| Common Stock, par value \$.01 per share | 6/04/02 | S | 825 D | \$32.19 | | I | (11)(16)(17)(18) |
| Common Stock, par value \$.01 per share | 6/05/02 | S | 2,614 D | \$32.27 | | I | (11)(16)(17)(18) |
| Common Stock, par value \$.01 per share | 6/06/02 | S | 895 D | \$32.51 | | I | (11)(16)(17)(18) |
| Common Stock, par value \$.01 per share | 6/07/02 | S | 3,357 D | \$32.61 | | I | (11)(16)(17)(18) |
| Common Stock, par value \$.01 per share | 6/10/02 | S | 4,793 D | \$33.48 | 38,363 | I | (11)(16)(17)(18) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(20) | 20,000 A | | 80,000 | I | (18)(21) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(20) | 7,500 A | | 30,000 | I | (18)(22) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(20) | 3,750 A | | 15,000 | I | (18)(23) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(20) | 6,250 A | | 25,000 | I | (18)(24) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(25) | 5,205 A | | | I | (18)(26) |
| Common Stock, par value \$.01 per share | 6/03/02 | S | 7 D | \$33.14 | | I | (18)(26) |
| Common Stock, par value \$.01 per share | 6/04/02 | S | 343 D | \$32.19 | | I | (18)(26) |
| Common Stock, par value \$.01 per share | 6/05/02 | S | 1,089 D | \$32.27 | | I | (18)(26) |
| Common Stock, par value \$.01 per share | 6/06/02 | S | 373 D | \$32.51 | | I | (18)(26) |
| Common Stock, par value \$.01 per share | 6/07/02 | S | 1,398 D | \$32.61 | | I | (18)(26) |
| Common Stock, par value \$.01 per share | 6/10/02 | S | 1,995 D | \$33.48 | 5,205 | I | (18)(26) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(27) | 3,386 A | | | I | (18)(28) |
| Common Stock, par | 6/03/02 | S | 4 D | \$33.14 | | I | (18)(28) |

Edgar Filing: CREDIT SUISSE FIRST BOSTON/ - Form 4

| | | | | | | | |
|--|---------|-------|---------|---------|-------|---|----------|
| value \$.01 per share Common Stock, par value \$.01 per share | 6/04/02 | S | 223 D | \$32.19 | | I | (18)(28) |
| Common Stock, par value \$.01 per share | 6/05/02 | S | 708 D | \$32.27 | | I | (18)(28) |
| Common Stock, par value \$.01 per share | 6/06/02 | S | 242 D | \$32.51 | | I | (18)(28) |
| Common Stock, par value \$.01 per share | 6/07/02 | S | 909 D | \$32.61 | | I | (18)(28) |
| Common Stock, par value \$.01 per share | 6/10/02 | S | 1,298 D | \$33.48 | 3,386 | I | (18)(28) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(29) | 623 A | | | I | (18)(30) |
| Common Stock, par value \$.01 per share | 6/28/02 | J(29) | 623 D | \$32.46 | 0 | I | (18)(30) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(31) | 781 A | | | I | (18)(32) |
| Common Stock, par value \$.01 per share | 6/03/02 | S | 1 D | \$33.14 | | I | (18)(32) |
| Common Stock, par value \$.01 per share | 6/04/02 | S | 52 D | \$32.19 | | I | (18)(32) |
| Common Stock, par value \$.01 per share | 6/05/02 | S | 163 D | \$32.27 | | I | (18)(32) |
| Common Stock, par value \$.01 per share | 6/06/02 | S | 56 D | \$32.51 | | I | (18)(32) |
| Common Stock, par value \$.01 per share | 6/07/02 | S | 210 D | \$32.61 | | I | (18)(32) |
| Common Stock, par value \$.01 per share | 6/10/02 | S | 299 D | \$33.48 | 781 | I | (18)(32) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(33) | 372 A | | | I | (18)(34) |
| Common Stock, par value \$.01 per share | 6/03/02 | S | 1 D | \$33.14 | | I | (18)(34) |
| Common Stock, par value \$.01 per share | 6/04/02 | S | 25 D | \$32.19 | | I | (18)(34) |

Edgar Filing: CREDIT SUISSE FIRST BOSTON/ - Form 4

| | | | | | | | |
|---|---------|-------|-------|---------|-----|---|----------|
| Common Stock, par value \$.01 per share | 6/05/02 | S | 78 D | \$32.27 | | I | (18)(34) |
| Common Stock, par value \$.01 per share | 6/06/02 | S | 27 D | \$32.51 | | I | (18)(34) |
| Common Stock, par value \$.01 per share | 6/07/02 | S | 100 D | \$32.61 | | I | (18)(34) |
| Common Stock, par value \$.01 per share | 6/10/02 | S | 141 D | \$33.48 | 372 | I | (18)(34) |
| Common Stock, par value \$.01 per share | 6/20/02 | J(35) | 875 A | | | I | (18)(36) |
| <hr/> | | | | | | | |
| Common Stock, par value \$.01 per share | 6/03/02 | S | 1 D | \$33.14 | | I | (18)(36) |
| Common Stock, par value \$.01 per share | 6/04/02 | S | 58 D | \$32.19 | | I | (18)(36) |
| Common Stock, par value \$.01 per share | 6/05/02 | S | 183 D | \$32.27 | | I | (18)(36) |
| Common Stock, par value \$.01 per share | 6/06/02 | S | 63 D | \$32.51 | | I | (18)(36) |
| Common Stock, par value \$.01 per share | 6/07/02 | S | 235 D | \$32.61 | | I | (18)(36) |
| Common Stock, par value \$.01 per share | 6/10/02 | S | 335 D | \$33.48 | 875 | I | (18)(36) |
| <hr/> | | | | | | | |
| <hr/> | | | | | | | |
| <hr/> | | | | | | | |
| <hr/> | | | | | | | |
| <hr/> | | | | | | | |
| <hr/> | | | | | | | |
| <hr/> | | | | | | | |
| <hr/> | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: CREDIT SUISSE FIRST BOSTON/ - Form 4

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.

FORM 4 (Continued)

Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | |
|---|--|---|-----------------------------------|---|--|-----|---|-----------------|
| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date |

| 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4) | 10. Ownership Form of Derivative Security; Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|---|---|--|---|
|--|---|---|--|---|

| Title | Amount or Number of Shares |
|-------|----------------------------|
|-------|----------------------------|

Explanation of Responses:

See Attachment A for additional footnotes.

Credit Suisse First Boston, acting solely on behalf of the investment banking business of Credit
Suisse First Boston business unit
/s/ Ivy Dodes

7/10/02

By: Ivy Dodes, Managing Director

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Attachment A

Explanation of Responses

- (1) These securities are held directly by DLJ Merchant Banking Partners II, L.P. ("Partners II"), which is a partnership.
- (2) These securities are held directly by DLJ Merchant Banking Partners II-A, L.P. ("Partners II-A"), which is a partnership.
- (3) These securities are held directly by DLJ Offshore Partners II, C.V. ("Offshore Partners II"), which is a partnership.
- (4) These securities are held directly by DLJ Diversified Partners, L.P. ("Diversified"), which is a partnership.
- (5) These securities are held directly by DLJ Diversified Partners-A, L.P. ("Diversified-A"), which is a partnership.
- (6) These securities are held directly by DLJ Millennium Partners, L.P. ("Millennium"), which is a partnership.
- (7) These securities are held directly by DLJ Millennium Partners-A, L.P. ("Millennium-A"), which is a partnership.
- (8) These securities are held directly by DLJ EAB Partners, L.P. ("EAB"), which is a partnership.
- (9) These securities are held directly by DLJMB Funding II, Inc. ("Funding II"), which is a Delaware corporation.
- (10)

Edgar Filing: CREDIT SUISSE FIRST BOSTON/ - Form 4

These securities are held by DLJ First ESC, L.P. ("ESC"), which is a partnership.

- (11) These securities are held directly by UK Investment Plan 1997 Partners ("1997 Partners").
- (12) DLJ Merchant Banking II, Inc. ("MB II Inc.") is the Managing General Partner of each of Partners II, Partners II-A, Millennium and Millennium-A and Advisory General Partner of Offshore Partners II. In addition, DLJ Merchant Banking II, LLC ("MB II LLC") is the Associate General Partner of each of Partners II, Partners II-A, Offshore Partners II, Millennium, Millennium-A, and EAB. MB II Inc. is also the Managing Member of MB II LLC.
- (13) DLJ Diversified Partners, Inc. ("Diversified Partners") is the General Partner of each of Diversified and Diversified-A. DLJ Diversified Associates, L.P. ("Diversified Associates") is the Associate General Partner of each of Diversified and Diversified-A. Diversified Partners is also the General Partner of Diversified Associates.
- (14) DLJ LBO Plans Management Corp. is the Managing General Partner of EAB and ESC.
- (15) Credit Suisse First Boston Private Equity, Inc. ("CSFBPE") is the sole stockholder of each of MB II Inc., Diversified Partners and Funding II.
-
- (16) These securities are held indirectly by UK Investment Plan 1997, Inc. ("Plan 1997"), General Partner of 1997 Partners.
- (17) Credit Suisse First Boston (USA) Inc. ("CSFB-USA"), a Delaware corporation formerly named Donaldson, Lufkin & Jenrette, Inc., is the sole stockholder of CSFBPE, DLJ LBO Plans Management Corporation and Plan 1997.
- (18) In accordance with Securities and Exchange Commission Release No. 34-39538 (January 12, 1998), this Form 4 is being filed by Credit Suisse First Boston (the "Bank"), a Swiss bank, on behalf of itself and its subsidiaries, to the extent that they constitute part of the investment banking business (the "Reporting Person") of the Credit Suisse First Boston business unit (the "CSFB business unit"). The CSFB business unit is also comprised of an asset management business ("Asset Management"). The Reporting Person provides financial advisory and capital raising services, sales and trading for users and suppliers of capital around the world and invests in and manages private equity and venture capital funds. Asset Management provides asset management and investment advisory services to institutional, mutual fund and private investors worldwide. The address of the Bank's principal business and office is Uetlibergstrasse 231, P.O. Box 900, CH 8070 Zurich, Switzerland. The address of the Reporting Person's principal business and office in the United States is Eleven Madison Avenue, New York, New York 10010.
- The ultimate parent company of the Bank is Credit Suisse Group ("CSG"), a corporation formed under the laws of Switzerland. CSG is a global financial services company with two distinct business units. In addition to the CSFB business unit, CSG and its consolidated subsidiaries are comprised of the Credit Suisse Financial Services business unit. CSG's business address is Paradeplatz 8, P.O. Box 1, CH 8070 Zurich, Switzerland.
- CSG, for purposes of the federal securities laws, may be deemed ultimately to control the Bank and the Reporting Person. CSG, its executive officers and directors, and its direct and indirect subsidiaries (including Asset Management and the Credit Suisse Financial Services business unit) may beneficially own securities issued by the Issuer or derivative securities relating thereto, and any such securities are not reported in this statement. CSG disclaims beneficial ownership of any such securities beneficially owned by its direct and indirect subsidiaries, including the Reporting Person. The Reporting Person disclaims beneficial ownership of any such securities beneficially owned by CSG, Asset Management and the Credit Suisse Financial Services business unit.
- The Reporting Person disclaims beneficial ownership of securities held directly by any entity described herein except with respect to the Reporting Person's proportionate interest in or ownership of such entity. The filing of this statement shall not be construed for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, as an admission of beneficial ownership of the securities reported on this statement.
- (19) Distribution of securities to partners of the limited partnership on a pro rata basis.
- (20) Pro rata distribution from Partners II, of which the direct holder of such securities is a partner.
- (21) These securities are held directly by WSW 1996 Buyout Fund L.P., which is a partnership and itself a partner of Partners II.
- (22) These securities are held directly by WSW 1996 Buyout Fund II L.P., which is a partnership and itself a partner of Partners II.

Edgar Filing: CREDIT SUISSE FIRST BOSTON/ - Form 4

- (23) These securities are held by WSW 1996 Exchange Fund L.P., which is a partnership and itself a partner of Partners II.
- (24) These securities are held by WSW 1995 Exchange Fund L.P., which is a partnership and itself a partner of Partners II.
- (25) Pro rata distribution of 4,677 shares of Common Stock from Partners II, of which the direct holder of such Common Stock is a partner; pro rata distribution of 186 shares of Common Stock from Partners II-A, of which the direct holder of such Common Stock is a partner; pro rata distribution of 76 shares of Common Stock from Millennium, of which the direct holder of such Common Stock is a partner; pro rata distribution of 15 shares of Common Stock from Millennium-A, of which the direct holder of such Common Stock is a partner; pro rata distribution of 21 shares of Common Stock from EAB; of which the direct holder of such Common Stock is a partner; and pro rata distribution of 230 shares of Common Stock from Offshore Partners II, of which the direct holder of such Common Stock is a partner.
- (26) These securities are held directly by MB II LLC.
- (27) Pro rata distribution of 1,483 shares of Common Stock from Partners II, of which the direct holder of such Common Stock is a partner; pro rata distribution of 3 shares of Common Stock from Partners II-A, of which the direct holder of such Common Stock is a partner; pro rata distribution of 704 shares of Common Stock from Millennium, of which the direct holder of such Common Stock is a partner; pro rata distribution of 694 shares of Common Stock from Millennium-A, of which the direct holder of such Common Stock is a partner; and pro rata distribution of 500 shares of Common Stock from Offshore Partners II, of which the direct holder of such Common Stock is a partner.
- (28) These securities are held directly by MB II Inc.
- (29) Pro rata distribution of 255 shares of Common Stock from Diversified, of which the direct holder of such Common Stock is a partner; and pro rata distribution of 368 shares of Common Stock from Diversified-A, of which the direct holder of such Common Stock is a partner.
- (30) These securities are held by DLJ Strategic Partners, L.P., which is a partnership and itself a partner of Diversified and Diversified-A.
- (31) Pro rata distribution of 368 shares of Common Stock from Diversified, of which the direct holder of such Common Stock is a partner; and pro rata distribution of 413 shares of Common Stock from Diversified-A, of which the direct holder of such Common Stock is a partner.
- (32) These securities are held directly by Diversified Partners.
- (33) Pro rata distribution of 272 shares of Common Stock from Diversified, of which the direct holder of such Common Stock is a partner; and pro rata distribution of 100 shares of Common Stock from Diversified-A, of which the direct holder of such Common Stock is a partner.
- (34) These securities are held directly by Diversified Associates.
- (35) Pro rata distribution of shares of Common Stock from EAB, of which the direct holder of such Common Stock is a partner.
- (36) These securities are held directly by DLJ LBO Plans Management Corp.
-