

Edgar Filing: SHC CORP - Form 5

SHC CORP
Form 5
February 09, 2001

----- UNITED STATES SECURITIES AND EXCHANGE COMMISSION
FORM 5 WASHINGTON, D.C. 20549

/ / CHECK BOX IF NO LONGER SUBJECT TO SECTION 16. ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
FORM 4 OR FORM 5 OBLIGATIONS MAY CONTINUE. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
SEE INSTRUCTION 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935
Section 30(f) of the Investment Company Act of 1940
/X/ FORM 3 HOLDINGS REPORTED
/X/ FORM 4 TRANSACTIONS REPORTED

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol	6.	
Ruben	Philip	E.	SHC Corp.	SONM	X
(Last)	(First)	(Middle)	3. IRS or Social Security Number of Reporting Person (Voluntary)	4. Statement for Month/Year	--
c/o Kwiatk & Ruben, Ltd. 211 Waukegan Rd., Suite 300				January 2001	--
(Street)				5. If Amendment, Date of Original (Month/Year)	7. X

Northfield	IL	60093			
(City)	(State)	(Zip)			

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED, OR TRANSFERRED

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount (A) or Price (D)	6. Beneficial Ownership (Instr. 4)
Common Stock	10/8/98	3P	87,500	A .10	See B
Common Stock	12/29/00	4J(2)	306,798	A .05	394,2
Common Stock	4/14/99	3J(1)	207,819	A .11	See B
Common Stock	10/14/99	3J(1)	233,380	A .13	See B
Common Stock	3/1/00	4J(1)	49,133	A .06	See B
Common Stock	3/1/00	4J(1)	69,133	A .08	See B

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* By Kwiat & Ruben, Ltd.
 J(1) Legal Fees
 J(2) Transfer from Kwiat & Ruben, Ltd.

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*If the form is filed by more than one reporting person, see instruction 4(b)(v).

POTENTIAL PERSONS WHO ARE TO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM HAS A CURRENTLY VALID OMB CONTROL NUMBER.

 FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549

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 SUBJECT TO SECTION 16.
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 // FORM 3 HOLDINGS REPORTED
 // FORM 4 TRANSACTIONS REPORTED

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	6.
Ruben Philip E.	SHC Corp. SONM	--
(Last) (First) (Middle)	3. IRS or Social Security Number of Reporting Person (Voluntary)	--
c/o Kwiat & Ruben, Ltd. 211 Waukegan Rd., Suite 300	4. Statement for Month/Year January 2001	--
(Street)	5. If Amendment, Date of Original (Month/Year)	7.
Northfield IL 60093		--

 TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount
Common Stock	3/1/00	4J(1)	Amount (A) or Price (D)	98,495 A .07
				See B

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Common Stock	3/1/00	4J(1)	48,838	A	.08	See B
Common Stock	3/1/00	4J(1)	48,894	A	.09	See B
Common Stock	3/1/00	4J(1)	55,971	A	.09	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B

* By Kwiat & Ruben, Ltd.
J(1)=Legal Fees

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WASHINGTON, D.C. 20549

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GATIONS MAY CONTINUE.
SEE INSTRUCTION 1(b).
// FORM 3 HOLDINGS REPORTED
// FORM 4 TRANSACTIONS REPORTED

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
Section 17(a) of the Public Utility Holding Company Act of 1935
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	6.
Ruben Philip E. (Last) (First) (Middle) c/o Kwiat & Ruben, Ltd. 211 Waukegan Rd., Suite 300 (Street)	SHC Corp. SONM	--
Northfield IL 60093 (City) (State) (Zip)		--

1. Title of Security (Instr. 3)	2. Trans- action	3. Transac- tion	4. Securities Acquired (A) or Disposed of (D)	5. Amount Secur
TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOS				

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	Date (Month/ Day/ Year)	Code (Instr. 8)	(Instr. 3, 4 and 5)			Benef Owned end o Issue Fisca (Inst and 4
			Amount	(A) or (D)	Price	
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B

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ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

// FORM 3 HOLDINGS REPORTED
// FORM 4 TRANSACTIONS REPORTED

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
Section 17(a) of the Public Utility Holding Company Act of 1935
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	6.
Ruben Philip E. (Last) (First) (Middle) c/o Kwiat & Ruben, Ltd. 211 Waukegan Rd., Suite 300 (Street)	SHC Corp. SONM	--
	3. IRS or Social Security Number of Reporting Person (Voluntary)	--
	4. Statement for Month/Year January 2001	--
	5. If Amendment, Date of Original	7.

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(Month/Year)

Northfield IL 60093

(City)	(State)	(Zip)	TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOS				
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount	
			Amount	(A) or (D)	Price	Secur Benef Owned end o Issue Fisca (Inst and 4	
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B	
Common Stock	5/3/00	4J(1)	50,000	A	.12	See B	
Common Stock	10/6/00	4J(1)	250,000	D	.10	See B	
Common Stock	12/18/00	4J(1)	200,000	D	.05	See B	
Common Stock	12/28/00	4J(1)	509,798	D	.05	354,8	

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FORM 5 (CONTINUED)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL (e.g., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion of Exercise Price of Deriv- ative Security	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Ac- quired (A) or Disposed of (D) (Instr. 3, 4, and 5)
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		(A)	(D)	
7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4)	10. Ownership of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Title	Amount or Number of Shares			

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Explanation of Responses:

**Intentional misstatements or omissions of facts constitute
Federal Criminal Violations. SEE 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Phi

**Signature o

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, SEE Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are
form displays a currently valid OMB number.