RETTLER STEVE W

Form 4 May 03, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * RETTLER STEVE W

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) (Middle)

(Street)

(State)

SMITH A O CORP [AOS]

(Check all applicable)

Senior Vice President - CD

A. O. SMITH

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

05/02/2012

Director X_ Officer (give title below)

10% Owner Other (specify

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

CORPORATION, 11270 WEST PARK PLACE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

MILWAUKEE, WI 53224

(City)

Table I - Non-Derivative	Securities Acc	quired Disnosed	of or Re	neficially Owned

							,		•
1.Title of Security (Instr. 3)		action Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year)		4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	05/02/2012		M	7,200	A	\$ 23.76	25,629	D	
Common Stock	05/02/2012		S	2,400	D	\$ 47.8	23,229	D	
Common Stock	05/02/2012		S	100	D	\$ 47.81	23,129	D	
Common Stock	05/02/2012		S	800	D	\$ 48.05	22,329	D	
Common Stock	05/02/2012		S	250	D	\$ 48.057	22,079	D	

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Common Stock	05/02/2012	S	475	D	\$ 48.06 21,604	D
Common Stock	05/02/2012	S	575	D	\$ 48.07 21,029	D
Common Stock	05/02/2012	S	1,349	D	\$ 48.087 19,680	D
Common Stock	05/02/2012	S	851	D	\$ 48.09 18,829	D
Common Stock	05/02/2012	S	100	D	\$ 48.1 18,729	D
Common Stock	05/02/2012	S	100	D	\$ 48.12 18,629	D
Common Stock	05/02/2012	S	200	D	\$ 48.13 18,429	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 23.76	05/02/2012		M	7,200 (1)	02/11/2009	02/11/2018	Common Stock	7,200

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

RETTLER STEVE W A. O. SMITH CORPORATION 11270 WEST PARK PLACE MILWAUKEE, WI 53224

Senior Vice President - CD

Signatures

James F. Stern, Attorney-in-Fact for Steve W. Rettler

05/03/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The employee stock options were granted on 02/11/2008 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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