

Edgar Filing: IGI INC - Form 8-K

IGI INC
Form 8-K
November 12, 2003

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES ACT OF 1934

Date of Report (date of earliest event reported): November 12, 2003

IGI, INC.

(Exact name of registrant as specified in its charter)

| | | |
|---|--|---|
| DELAWARE (State or other jurisdiction of incorporation or organization) | 1-08568 (Commission File Number) | 01-0355758 (IRS Employer Identification Number) |
|---|--|---|

| | |
|---|---------------------|
| 105 LINCOLN AVENUE BUENA, NEW JERSEY (Address of principal executive offices) | 08310 (Zip Code) |
|---|---------------------|

(856) 697-1441
(registrant's telephone number, including area code)

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days.

Yes No

SPECIAL NOTE REGARDING CERTAIN STATEMENTS AND REFERENCES

Statements in this Current Report on Form 8-K, as well as the statements set forth in the annexed exhibit incorporated herein by reference, and any other documents referred to in this Report, contain certain forward-looking statements within the meaning of the Private Securities Litigation Reform Act of 1995. Any statements that are not statements of historical fact (including statements containing the words "believes," "plans," "anticipates," "expects," "estimates" and similar expressions) should also be considered to be forward-looking statements. There are a number of important factors that could cause actual results or events to differ materially from those indicated by such forward-looking statements, including, without limitation, IGI's ability to realize anticipated benefits and opportunities and to implement successfully proposed strategies; competitive pressures; and the other factors described in IGI's Annual Report on Form 10-K for the year ended December 31, 2002, which factors are incorporated herein by reference. The Company cautions readers to consider carefully these and other such factors. Further, such forward-looking statements speak only as of the date on which such statements are made. The Company disclaims any intention or obligation to update forward-looking statements as a result of developments occurring after the date of this document.

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The Company's Web site address is www.askigi.com. References, if any, in this Current Report on Form 8-K and the exhibits hereto, if any, to www.askigi.com, any variations thereof or any other uniform resource locator, or URL, are inactive textual references only. The information on the Company's Web site or at any other URL is not incorporated by reference into this Current Report on Form 8-K and should not be considered to be a part of this document.

Item 9 and Item 12.

The following information is furnished pursuant to Item 9, "Regulation FD Disclosure" and Item 12, "Results of Operations and Financial Condition".

On November 12, 2003, IGI, Inc. ("IGI") issued a press release setting forth IGI's third-quarter 2003 results. A copy of IGI's press release is attached hereto as Exhibit 99.1 and hereby incorporated by reference.

Exhibit Index

99.1 Press release dated November 12, 2003, issued by IGI, Inc.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

IGI, Inc.

By: /s/ Domenic N. Golato

Domenic N. Golato
Chief Financial Officer &
Senior Vice President

Dated: November 12, 2003