#### HORIZON BANCORP/IN/

Form 4 July 20, 2016

## FORM 4

Check this box

if no longer

subject to

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Thompson Michele M. Issuer Symbol HORIZON BANCORP /IN/ [HBNC] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner \_ Other (specify Officer (give title 515 FRANKLIN SQUARE 07/18/2016 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MICHIGAN CITY, IN 46360 Person

(City)	(Ctata)	(7in)	

(City)	(State) (2	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired ion(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Form: Direct Energicially (D) or Owned Indirect (I) Following (Instr. 4)		p 7. Nature of t Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	07/18/2016		A	12,708	A	<u>(1)</u>	12,708	D	
Common Stock	07/18/2016		A	2,219	A	(1)	2,219	I	By ESOP
Common Stock	07/18/2016		A	2,572	A	(1)	2,572	I	By 401(k)
Common Stock	07/18/2016		A	4,181	A	<u>(1)</u>	4,181	I	By IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amaunt		
						Date	Expiration				
						Exercisable	Date				
				Code V	(A) (D)						
				Code V	4, and 5)		•	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
Thompson Michele M.							
515 FRANKLIN SQUARE	X						
MICHIGAN CITY, IN 46360							

# **Signatures**

Mark E. Secor, as Attorney-in-Fact for Michele M.

Thompson 07/20/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

amended, and those increases are reflected herein.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This common stock was received in exchange for a portion of the Reporting Person's shares of LaPorte Bancorp, Inc. common stock in connection with the merger of LaPorte Bancorp, Inc. into Horizon Bancorp (the "Merger"). The Reporting Person received cash for the remaining portion of the Reporting Person's LaPorte Bancorp, Inc. common stock in the Merger. The exchange ratio in the Merger was 0.629 shares of Horizon Bancorp common stock for each share of LaPorte Bancorp, Inc. common stock (with no fractional shares issued). At the effective time of the Merger, the closing price of LaPorte Bancorp, Inc. common stock was \$17.15 per share, and the closing price of Horizon Bancorp common stock was \$26.31 per share. The Reporting Person's indirect holdings in the ESOP and 401(k) of LaPorte Bancorp, Inc. increased prior to the Merger but were not yet reportable pursuant to Section 16 of the Securities Exchange Act of 1934, as

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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