Nocella Andrew P Form 4 March 01, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB
3235-0287

Number: January 31,

Estimated average

10% Owner

Other (specify

burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*
Nocella Andrew P

2. Issuer Name and Ticker or Trading
Symbol

United Continental Holdings, Inc.
[UAL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

P. O. BOX 66100 HDQLD 02/27/2019 below) below)

EVP & Chief Commercial Officer

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting
Person

CHICAGO, IL 60666

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of Securities Ownership Indirect (Instr. 3) Code (D) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 3, 4 and 5) (Instr. 8) Owned (D) or Ownership Indirect (I) Following (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common  $M^{(1)(2)}$ 02/27/2019 8,856 Α \$0 29,142 D Stock Common  $F^{(3)}$ 02/27/2019 3,920 25,222 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

### Edgar Filing: Nocella Andrew P - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(4)</u>	02/27/2019		M <u>(1)</u>		8,856	(2)	(2)	Common Stock	8,856
Restricted Stock Units	<u>(4)</u>	02/27/2019		A	10,703		<u>(5)</u>	<u>(5)</u>	Common Stock	10,703

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Nocella Andrew P

P. O. BOX 66100 HDOLD **EVP & Chief Commercial Officer** CHICAGO, IL 60666

**Signatures** 

/s/ Sarah Hagy for Andrew

Nocella 03/01/2019 \*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the settlement upon vesting of restricted stock units ("RSUs") into UAL common stock.

Date

- The RSUs were granted on February 27, 2017 and vest in three installments of 8,856 RSUs on February 27, 2018, 8,856 RSUs on February 27, 2019 and 8,856 RSUs on February 27, 2020.
- This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting an award granted on (3) February 27, 2017.
- Each RSU represents the economic equivalent of one share of UAL common stock and is settled in shares of UAL common stock upon **(4)**
- The RSUs vest in three installments of 3,567 RSUs on February 28, 2020, 3,568 RSUs on February 28, 2021 and 3,568 RSUs on February 28, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2