Ray Michael C. Form 4

#### September 21, 2018 **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Ray Michael C. Issuer Symbol Vera Bradley, Inc. [VRA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Officer (give title \_\_X\_ Other (specify C/O VERA BRADLEY, INC., 12420 09/19/2018 below) below) STONEBRIDGE ROAD Member of a 10% owner group (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ROANOKE, IN 46783 Person

(City)	(State)	(Zip) Tak	ole I - Non-	Derivative	Secu	rities Acc	quired, Disposed	of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired action(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	09/19/2018		S	2,429 (1)	D	\$ 15.91 (2)	75,311	D	
Common Stock	09/20/2018		S	2,429 (1)	D	\$ 15.83 (2)	72,882	D	
Common Stock	09/19/2018		S	10,913 (1)	D	\$ 15.91 (3)	338,330	I	By Michael Ray 2009 Grantor Retained Annuity Trust

0.5

#### Edgar Filing: Ray Michael C. - Form 4

Common Stock	09/20/2018	S	10,913 (1)	D	\$ 15.83 (3)	327,417	I	By Michael Ray 2009 Grantor Retained Annuity Trust
Common Stock	09/19/2018	S	3,276 (1)	D	\$ 15.91 (4)	225,324	I	By Anne-Marie Ray Revocable Trust
Common Stock	09/20/2018	S	3,276 (1)	D	\$ 15.83 (4)	222,048	I	By Anne-Marie Ray Revocable Trust
Common Stock						2,810,469 (5)	I	By Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust
Common Stock						411,003	I	By Anne-Marie Ray 2017 Grantor Retained Annuity Trust #1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

D Se	Title of erivative ecurity nstr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed	;	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
						` ′				

#### Edgar Filing: Ray Michael C. - Form 4

4, and 5)

Date Expiration Or Number Of Shares

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ray Michael C. C/O VERA BRADLEY, INC. 12420 STONEBRIDGE ROAD ROANOKE, IN 46783

Member of a 10% owner group

### **Signatures**

/s/ Michael C.

Ray 09/20/2018

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected under a Rule 10b5-1 trading plan adopted on April 6, 2018.
  - The prices reported are weighted average prices. The shares sold on September 19, 2018 were sold in multiple transactions at prices ranging from \$15.84 to \$16.04, inclusive, and the shares sold on September 20, 2018 were sold in multiple transactions at prices ranging
- (2) from \$15.74 to \$15.97, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
  - The prices reported are weighted average prices. The shares sold on September 19, 2018 were sold in multiple transactions at prices ranging from \$15.84 to \$16.08, inclusive, and the shares sold on September 20, 2018 were sold in multiple transactions at prices ranging
- (3) from \$15.74 to \$16.00, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
  - The prices reported are weighted average prices. The shares sold on September 19, 2018 were sold in multiple transactions at prices ranging from \$15.84 to \$16.04, inclusive, and the shares sold on September 20, 2018 were sold in multiple transactions at prices ranging
- (4) from \$15.74 to \$15.97, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (5) The reporting person disclaims beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3