

MITEL NETWORKS CORP

Form 3

December 22, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Agnes Robert Dale

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

12/22/2016

3. Issuer Name and Ticker or Trading Symbol

MITEL NETWORKS CORP [MITL]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer ____ Other
 (give title below) (specify below)

See Remarks

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

350 LEGGET DRIVE

(Street)

OTTAWA, A6 K2K 2W7

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:
 Direct (D)
 or Indirect (I)
 (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Shares

4,479

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title

Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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				Shares		(I) (Instr. 5)	
Options (Common Shares)	Â (1)	03/05/2022	Common Shares	32,000	\$ 9.7	D	Â
Options (Common Shares)	Â (2)	08/14/2021	Common Shares	50,000	\$ 9.96	D	Â
Restricted Stock Units	Â (3)	Â (3)	Common Shares	30,000	\$ (3)	D	Â
Restricted Stock Units	Â (4)	Â (4)	Common Shares	22,650	\$ (4)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Agnes Robert Dale 350 LEGGET DRIVE OTTAWA,Â A6Â K2K 2W7	Â	Â	Â See Remarks	Â

Signatures

/s/ Robert D.
Agnes
12/22/2016
Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 32,000 stock options to purchase common shares ("Common Shares") of Mitel Networks Corporation (the "Company") were granted to
- (1) Mr. Agnes on March 5, 2015. 2,000 options vested on June 5, 2015 and are scheduled to vest in equal installments every three months thereafter until March 5, 2019.
- (2) 50,000 stock options to purchase Common Shares of the Company were granted to Mr. Agnes on August 14, 2014. 3,125 options vested on November 14, 2014 and are scheduled to vest in equal installments every three months thereafter until August 14, 2018.
- Represents 30,000 Common Shares underlying 30,000 Restricted Stock Units ("RSUs") granted to Mr. Agnes on March 4, 2016. These
- (3) RSUs will vest as follows: (i) 7,500 of the RSUs will vest on March 4, 2017; (ii) 7,500 of the RSUs will vest on March 4, 2018; (iii) 7,500 of the RSUs will vest on March 4, 2019; and (iv) 7,500 of the RSUs will vest on March 4, 2020.
- Represents 22,650 Common Shares underlying 22,650 RSUs granted to Mr. Agnes on March 5, 2015. These RSUs will vest as follows:
- (4) (i) 7,500 of the RSUs will vest on March 5, 2017; (ii) 7,500 of the RSUs will vest on March 5, 2018; and (iii) 7,500 of the RSUs will vest on March 5, 2019.

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Remarks:

Mr.Â Agnes'Â titleÂ isÂ ExecutiveÂ ViceÂ President,Â MitelÂ EnterpriseÂ Division.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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