

HOME BANCSHARES INC
Form 4
November 22, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Engelkes Jack

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
11/18/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount (A) or Price (D)					
Common Stock	11/18/2016		S		1,000	D	\$ 26.091	43,719.392	I	Custodian for daughters
Common Stock	11/18/2016		S		1,000	D	\$ 26.108	42,719.392	I	Custodian for daughters
Common Stock								190,412.8646	I	Owned solely by wife
Common Stock								118,025.9349	D	

Edgar Filing: HOME BANCSHARES INC - Form 4

Common Stock	400	I	Held in wife's IRA
Common Stock - Restricted	4,000 <u>(1)</u> <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Deriv Secur (Instr.	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)
Stock Option	\$ 2.66					<u>(3)</u>	<u>(3)</u>	Common Stock	1,425
Stock Option	\$ 17.12					<u>(4)</u>	04/15/2025	Common Stock	20,000
Stock Option	\$ 2.1					12/31/2007	12/31/2017	Common Stock	1,425
Stock Option	\$ 2.46					<u>(5)</u>	<u>(5)</u>	Common Stock	2,851
Stock Option	\$ 4.3					<u>(6)</u>	01/09/2018	Common Stock	4,752

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Engelkes Jack P.O. BOX 966	X			

CONWAY, AR 72033

Signatures

/s/Jack Engelkes by Rachel
Wesson

11/22/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (2) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
- (3) 20% of the issued option is remaining. The 20% of the remaining option became exercisable on December 31, 2009 and expires on December 31, 2019.
- (4) The option is exercisable in five equal annual installments. The first installment became exercisable on April 16, 2016.
- (5) 40% of the issued option is remaining. The first 20% of the remaining option became exercisable on December 31, 2007 and expires on December 31, 2017. The last 20% of the remaining option became exercisable on December 31, 2008 and expires on December 31, 2018.
- (6) The option is exercisable in five equal annual installments. The first installment became exercisable on January 10, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.