Identiv, Inc. Form 4 June 21, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Wenzel Daniel S.C.			2. Issuer Name <b>and</b> Ticker or Trading Symbol Identiv, Inc. [INVE]				g	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle) 3.			3. Date of	3. Date of Earliest Transaction							
2201 WALNUT AVENUE, SUITE #100		(Month/Day/Year) 05/31/2015					_X Director 10% Owner Officer (give title below) Other (specify below)				
(Street)		4. If Amer	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
FREMONT, CA 94538			Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
		(7°)						Person			
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							lly Owned			
1.Title of Security (Instr. 3)	y (Month/Day/Year) Execution Date,		ion Date, if	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)  (A)			))	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	05/31/2015			M	2,248	A	(1)	26,315	D		
Common Stock								745,352	I	See footnote (2)	
Common Stock								50,257	I	See footnote (3)	
Reminder: Repo	ort on a separate li	ine for each	class of secur	rities benefic	cially own	ed dire	ectly or	indirectly.			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**SEC 1474** Persons who respond to the collection of information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Deri Seci (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	(1)	05/31/2015		M	2,248	<u>(4)</u>	<u>(4)</u>	Common Stock	2,248	:

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Wenzel Daniel S.C.
2201 WALNUT AVENUE, SUITE #100 X

FREMONT, CA 94538

## **Signatures**

/s/ Daniel S.C. Wenzel 06/21/2016

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit converts into common stock on a one-for-one basis.
- Reflects 643,166 shares directly held by Mountain Partners AG and 102,186 shares indirectly held by Mountain Partners AG. Mr. Wenzel is a member of the board of directors of Mountain Partners AG. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest herein. The inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for Section 16 or for any other purpose.
- Reflects shares held by Rosenberg Ventures AG of which Mr. Wenzel is a member of the board of directors. The reporting person (3) disclaims beneficial ownership of these securities except to the extent of his pecuniary interest herein. The inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for Section 16 or for any other purpose.
- On August 25, 2014, the reporting person was granted 8,991 Restricted Stock Units pursuant to Issuer's 2011 Incentive Compensation (4) Plan vesting 1/10 on August 31, 2014 with additional vesting of 3/10 on each of November 30, 2014, February 28, 2015 and May 31, 2015, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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