

Pollock Janet S
Form 3/A
May 11, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
Number: 3235-0104
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2005
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burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Pollock Janet S

(Last) (First) (Middle)

1627 E WALNUT ST

(Street)

SEGUIN, TX 78155

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/03/2018

3. Issuer Name and Ticker or Trading Symbol
ALAMO GROUP INC [ALG]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner

☒ Officer ____ Other

(give title below) (specify below)

VP, Human Resources

5. If Amendment, Date Original
Filed(Month/Day/Year)

05/11/2018

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person

____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

200

D

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Date Exercisable Expiration
Date

Title Amount or
Number of

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	05/12/2015 ⁽¹⁾	05/12/2024	Common Stock	400	\$ 53.51	D	Â
Employee Stock Option (Right to Buy)	05/11/2016 ⁽²⁾	05/11/2025	Common Stock	450	\$ 54.24	D	Â
Employee Stock Option (Right to Buy)	05/09/2017 ⁽³⁾	05/09/2026	Common Stock	600	\$ 54.87	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pollock Janet S 1627 E WALNUT ST SEGUIN, TX 78155	Â	Â	Â VP, Human Resources	Â

Signatures

/s/ Carol Worthy,
attorney-in-fact

05/11/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee Stock Option granted pursuant to the Alamo Group Inc. 2015 Incentive Stock Option Plan. The option vests in 5 equal annual installments beginning on May 12, 2015.
- (2) Employee Stock Option granted pursuant to the Alamo Group Inc. 2015 Incentive Stock Option Plan. The option vests in 5 equal annual installments beginning on May 11, 2016.
- (3) Employee Stock Option granted pursuant to the Alamo Group Inc. 2015 Incentive Stock Option Plan. The option vests in 5 equal annual installments beginning on May 9, 2017.

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Remarks:

Ex. 24 - Power of Attorney (incorporated by reference to the Power of Attorney filed as Exhibit

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.