

NORTHERN TRUST CORP
Form 3
June 14, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Karpinski Jane</p> <p>(Last) (First) (Middle)</p> <p>50 SOUTH LASALLE STREET</p> <p>(Street)</p> <p>CHICAGO,Â ILÂ 60603</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>06/07/2013</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>NORTHERN TRUST CORP [NTRS]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Sr. VP & Controller</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock ⁽¹⁾	5,635	D	Â
Common Stock	532	D	Â
Common Stock	109	I	Employee Share Ownership Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option (right-to-buy)	Â (2)	07/18/2016	Common Stock	1,004	\$ 54.31	D	Â
Employee Stock Option (right-to-buy)	Â (2)	07/18/2016	Common Stock	1,496	\$ 54.31	D	Â
Employee Stock Option (right-to-buy)	Â (3)	02/20/2017	Common Stock	2,368	\$ 63.36	D	Â
Employee Stock Option (right-to-buy)	Â (4)	02/19/2018	Common Stock	2,106	\$ 71.23	D	Â
Employee Stock Option (right-to-buy)	Â (5)	02/16/2019	Common Stock	2,275	\$ 55.39	D	Â
Employee Stock Option (right-to-buy)	Â (6)	02/15/2020	Common Stock	3,678	\$ 50.99	D	Â
Employee Stock Option (right-to-buy)	Â (7)	02/14/2021	Common Stock	3,562	\$ 52.64	D	Â
Employee Stock Option (right-to-buy)	Â (8)	02/13/2022	Common Stock	897	\$ 43.65	D	Â
Employee Stock Option (right-to-buy)	Â (8)	02/13/2022	Common Stock	81	\$ 43.65	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Karpinski Jane 50 SOUTH LASALLE STREET CHICAGO, IL 60603	Â	Â	Â Sr. VP & Controller	Â

Signatures

Paul A. Bernacki, Attorney-in-Fact for Jane Karpinski 06/14/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.
- (2) This option became exercisable in four annual installments beginning 7/18/2007.
- (3) This option became exercisable in four annual installments beginning 2/20/2008.

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- (4) This option became exercisable in four annual installments beginning 2/19/2009.
- (5) This option became exercisable in four annual installments beginning 2/16/2010.
- (6) This option became exercisable in four annual installments beginning 2/15/2011.
- (7) This option became exercisable in four annual installments beginning 2/14/2012.
- (8) This option became exercisable in four annual installments beginning 2/13/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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