

Edgar Filing: BIOTIME INC - Form 3

BIOTIME INC
Form 3
November 14, 2001

OMB APPROVAL
OMB Number: 3235-0104
Expires: December 31, 2001
Estimated average burden
hours per response.....0.5

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

| | | |
|-------------------|---------|----------|
| Seinberg | Steven | |
| ----- | ----- | ----- |
| (Last) | (First) | (Middle) |
| 935 Pardee Street | | |
| ----- | | |
| (Street) | | |
| Berkeley | CA | 94710 |
| ----- | ----- | ----- |
| (City) | (State) | (Zip) |

2. Date of Event Requiring Statement (Month/Day/Year)

6/07/01

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

BioTime, Inc. (BTIM)

5. Relationship of Reporting Person to Issuer
(Check all applicable)

| | |
|--|--|
| <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

Chief Financial Officer

6. If Amendment, Date of Original (Month/Day/Year)

7. Individual or Joint/Group Filing (Check applicable line)

Edgar Filing: BIOTIME INC - Form 3

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | Amount or Number of Shares | 4. Conversion or Exercise Price of Derivative Security |
|--|--|-----------------|---|----------------------------|--|
| | Date Exercisable | Expiration Date | Title | | |
| Options to Purchase Common Shares | 11/27/96 11/26/01 | | Common Shares | 3,000 | \$6.27 |
| Options to Purchase Common Shares | 11/24/97 11/23/02 | | Common Shares | 3,000 | \$18.25 |
| Options to Purchase Common Shares | 4/15/99 | 4/14/04 | Common Shares | 3,000 | \$11.75 |
| Options to Purchase Common Shares | 1/07/00 | 1/06/05 | Common Shares | 3,000 | \$9.00 |

Explanation of Responses:

* Includes 12,000 shares that may be purchased upon the exercise of stock options

November 12, 2001

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: BIOTIME INC - Form 3

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a valid OMB Number.

Page 2
SEC 1473 (7-96)