Edgar Filing: II-VI INC - Form 4

| II-VI INC Form 4 August 23, 2 | 2016 | | | | | | | | | | | |
|-------------------------------------|--|------------|-----------------|------------------------|------------|------------|---|----------------------------|------------------------------|------------|--|--|
| FORM | ΙΔ | | | | | | | | | PROVAL | | |
| | UNITEL |) STATES | | RITIES A shington, | | | NGE C | COMMISSION | OMB Number: | 3235-0287 | | |
| Check this box | | | | | | | Expires: | January 31, | | | | |
| | if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | Estimated a | 2005 Verage | | | |
| Section | 16. | SECURITIES | | | | | | | burden hou | • | | |
| Form 4 c | | | | | | | | | response | 0.5 | | |
| Form 5 obligatio | - | | | | | | - | e Act of 1934, | | | | |
| may con | | | | • | • | · · | | 1935 or Section | n | | | |
| See Instr | ruction | 30(h) | of the In | vestment | Compan | y Ac | t of 194 | 10 | | | | |
| 1(b). | | | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| (| , | | | | | | | | | | | |
| 1. Name and A | Address of Reportin | g Person * | 2. Issuer | Name and | Ticker or | Tradi | ng | 5. Relationship of | Reporting Pers | on(s) to | | |
| CORASANTI JOSEPH J Symbol | | | | - | | | | Issuer | | | | |
| | | | | VI INC [IIVI] | | | | | | | | |
| (Last) | (First) | | | | | | | (Check all applicable) | | | | |
| | | | Month/Day/Year) | | | X Director | Owner | | | | | |
| C/O II-VI INCORPORATED, 375 08/20/2 | | | | - | | | Officer (give titleOther (specify | | | | | |
| SAXONBU | IRG BLVD. | | | | | | | below) | below) | | | |
| (Street) 4. If Ame | | | | endment, Date Original | | | 6. Individual or Joint/Group Filing(Check | | | | | |
| | | | | nth/Day/Year) | | | | Applicable Line) | | | | |
| | | | | | | | | _X_ Form filed by C | | | | |
| SAXONBU | URG, PA 16056 | | | | | | | Person | Iore than One Re | porting | | |
| (City) | (State) | (Zip) | T - 1 1 | I No D | | G | • | · | • • • • • • • • • | 0 | | |
| | `` | - | | | | | _ | uired, Disposed of | | - | | |
| 1.Title of Security | 2. Transaction Da (Month/Day/Yea | | | 3. Transactio | 4. Securi | | | 5. Amount of Securities | 6. Ownership Form: Direct | | | |
| (Instr. 3) | (Wolith/Day/Tea | any | li Date, li | Code | (Instr. 3, | | | Beneficially | (D) or | Beneficial | | |
| · · · | | • | Day/Year) | (Instr. 8) | × , | | · | Owned | Indirect (I) | | | |
| | | | | | | | | Following Reported | (Instr. 4) | (Instr. 4) | | |
| | | | | | | (A) | | Transaction(s) | | | | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Common | | | | | 3,696 | , í | \$ | | | | | |
| Stock | 08/20/2016 | | | А | (1) | А | ¢ 21.67 | 37,746 | D | | | |
| | | | | | _ | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. H Der Sec (In: |
|---|---|---|---|--|---|--|--------------------|---|--|----------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Option (Right to Buy) | \$ 21.67 | 08/20/2016 | | А | 9,240 | (2) | 08/20/2026 | Common Stock | 9,240 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| CORASANTI JOSEPH J C/O II-VI INCORPORATED 375 SAXONBURG BLVD. SAXONBURG, PA 16056 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ Michelle L. Freehling, Attorney-in-Fact | 08/23/2016 | | | | | | |
| **Signature of Reporting Person | | D | ate | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award granted to reporting person under the Issuer's Second Amended and Restated 2012 Omnibus Incentive Plan. The award will vest in three equal annual installments beginning on August 20, 2017.
- (2) These options vest in four equal annual installments beginning on August 20, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.