Edgar Filing: II-VI INC - Form 4

II-VI INC												
Form 4												
August 23, 2	2016											
FORM	ΠΔ									OMB A	PROVAL	
	UNITE	CD STATE:				ND EX D.C. 20		NGE C	COMMISSION	OMB Number:	3235-0287	
Check th				C C	·					Expires:	January 31,	
if no long subject to		EMENT O	F CHAN	GES 1	GES IN BENEFICIAL OWNERSHIP				NERSHIP OF	Estimated a	2005 Worago	
Section				SEC	UR	RITIES				burden hou		
	Form 4 or							response 0.5				
Form 5 obligatio		-						-	e Act of 1934,			
may con				•		•	· ·		1935 or Section	1		
See Instr 1(b).		30(h)) of the In	vestme	ent	Compan	y Ac	t of 194	10			
(Print or Type]	Responses)											
Kapusta Gary Alan Symbo II-VI			Symbol	2. Issuer Name and Ticker or Trading Symbol I-VI INC [IIVI]				ng	5. Relationship of Reporting Person(s) to Issuer			
									(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of			ansaction			D. (100	0	
375 SAXO	NBURG BOU	LEVARD	(Month/E 08/20/2	-	r)				Director X Officer (give below) Chief C		o Owner er (specify er	
	(Street)		4. If Ame	ndment	, Da	te Origina	l		6. Individual or Jo	int/Group Filir	1g(Check	
			• • •					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
SAAUNDU	KG, FA 10030	5							Person			
(City)	(State)	(Zip)	Tabl	e I - No	n-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	08/20/2016			А		9,915 (1)	A	\$ 21.67	49,915	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	erivative Expiration Date (Month/Day/Year) cquired (A) Disposed of 0) nstr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option (Right to Buy)	\$ 21.67	08/20/2016		А	24,780	(2)	08/20/2026	Common Stock	24,780	

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
Kapusta Gary Alan 375 SAXONBURG BOULEVARD SAXONBURG, PA 16056			Chief Operating Officer				
Signatures							
/s/ Michelle L. Freehling, Attorney-in-Fact	08	/23/2016					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award granted to reporting person under the Issuer's Second Amended and Restated 2012 Omnibus Incentive Plan. The award will vest in three equal annual installments beginning on August 20, 2017.
- (2) These options vest in four equal annual installments beginning on August 20, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.