

OSHKOSH CORP
Form 3
March 08, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Freeders James C
(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)
03/06/2017

3. Issuer Name and Ticker or Trading Symbol
OSHKOSH CORP [OSK]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

C/O OSHKOSH CORPORATION,Â 2307 OREGON STREET

(Street)

(Check all applicable)

___ Director ___ 10% Owner
X Officer ___ Other
(give title below) (specify below)
Senior VP and Controller

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

OSHKOSH,Â WIÂ 54902

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

3,475.524

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Options ⁽¹⁾	Â ⁽²⁾	09/15/2021	Common Stock	559	\$ 46.94	D	Â
Options ⁽¹⁾	Â ⁽³⁾	11/12/2022	Common Stock	1,400	\$ 41.52	D	Â
Options ⁽¹⁾	Â ⁽⁴⁾	11/21/2023	Common Stock	1,750	\$ 66.89	D	Â
Restricted Stock Unit Award ⁽⁵⁾	Â ⁽⁶⁾	09/15/2017	Common Stock	311.586	\$ 0	D	Â
Restricted Stock Unit Award ⁽⁵⁾	Â ⁽⁷⁾	11/12/2018	Common Stock	700.189	\$ 0	D	Â
Restricted Stock Unit Award ⁽⁵⁾	Â ⁽⁸⁾	11/21/2019	Common Stock	802.469	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Freeders James C C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902	Â	Â	Â Senior VP and Controller	Â

Signatures

James C. Freeders	03/08/2017
<u> </u> **Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (2) Options vest in one-third (1/3) annual increments commencing on 9/15/2015.
- (3) Options vest in one-third (1/3) annual increments commencing on 11/12/2016.
- (4) Options vest in one-third (1/3) annual increments commencing on 11/21/2017.
- (5) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (6) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/15/2015.
- (7) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/12/2016.
- (8) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/21/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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