

MIDSOUTH BANCORP INC
Form 3
June 02, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--|--|---|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Abington Leonard Clayton | | (Month/Day/Year) | MIDSOUTH BANCORP INC [MSL] | |
| (Last) | (First) | (Middle) | 05/24/2017 | |
| 102 VERSAILLES BLVD | | 4. Relationship of Reporting Person(s) to Issuer | | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | (Check all applicable) | | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| LAFAYETTE,Â LAÂ 70501 | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) EVP/Corp Efficiency Officer | | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |
| (City) | (State) | (Zip) | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 8,628.45 | D | Â |
| Common Stock | 1,274.04 ⁽¹⁾ | I | ESOP |
| Common Stock | 614.79 | I | IRA |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership |
|--|--|--|---------------------------|----------------------|--|
|--|--|--|---------------------------|----------------------|--|

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|--------------------------------------|------------------|------------------|---------------------|----------------------------|------------------------------|--|------------|
| Series C Convertible Preferred Stock | 12/28/2012 | ∅ ⁽²⁾ | Common Stock | 1,858 ⁽³⁾ | \$ 18 | D | ∅ |
| Series C Convertible Preferred Stock | 03/18/2015 | ∅ ⁽²⁾ | Common Stock | 78 ⁽⁴⁾ | \$ 18 | D | ∅ |
| Stock Options | ∅ ⁽⁵⁾ | 07/17/2023 | Common Stock | 5,000 | \$ 15.86 | D | ∅ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Abington Leonard Clayton 102 VERSAILLES BLVD LAFAYETTE, LA 70501 | ∅ | ∅ | ∅ EVP/Corp Efficiency Officer | ∅ |

Signatures

Shaleen B Pellerin, Attorney
in Fact

06/02/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired in Employee Stock Ownership Plan (ESOP) distributions.
- (2) The Series C Convertible Preferred Stock has no expiration date.
- (3) Received in exchange for shares of PSB Financial Corporation common stock in connection with the merger of PSB into MidSouth Bancorp, Inc. effective as of December 28, 2012 pursuant to the agreement and plan of merger, dated as of September 26, 2012.
- (4) Received as part of a required distribution from the terminated People's State Bank Profit Sharing 401(K) Plan on March 18, 2015. The plan was terminated as part of the merger of PSB into MidSouth Bancorp, Inc. effective as of December 28, 2012.
- (5) Up to 20% of the total during the second year; up to 40% during the third year; up to 60% during the fourth year; up to 80% during the fifth year and during the sixth and each subsequent year until ten years from date of grant up to 100% of the total number of shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.