

Edgar Filing: NEWMONT MINING CORP - Form 4

NEWMONT MINING CORP

Form 4

February 14, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 FRANCISCO, DAVID H  
 1700 LINCOLN STREET  
 DENVER, CO 80203  
 USA
2. Issuer Name and Ticker or Trading Symbol  
 NEWMONT MINING CORP  
 NEM
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 2-14-02
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 EXECUTIVE VICE PRESIDENT
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security            | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) |        | 5. Amount | A/D | Price   | 5. Amount of Securities Beneficially Owned at End of Month |
|---------------------------------|---------------------|---------|---|--------|-----------|-----|---------|--|
|                                 |                     |         | Amount  | Price  |           |     |         |  |
| Common Stock (par value \$1.60) | 1-23-02             | F       | V   | 2,157  |           | D   | \$20.19 |  |
| Common Stock (par value \$1.60) | 1-30-02             | A       | V   | 13,014 |           | A   |         |  |
| Common Stock (par value \$1.60) | 2-4-02              | F       | V   | 986    |           | D   | \$23.75 | 28,348   |
| Common Stock (par value \$1.60) |                     |         |   |        |           |     |         | 3,853 (1)  |
| Common Stock (par value \$1.60) |                     |         |   |        |           |     |         | 206  |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

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| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Percentage of Total Ownership |
|---------------------------------|--|---------------------|---------------------|--|--|--|----------------------------------|
|                                 |  |                     |                     |  |  |  |                                  |

**Explanation of Responses:**

(1) Holdings as of January 31, 2002 in Reporting Person's 401-K Plan.

SIGNATURE OF REPORTING PERSON

Britt D. Banks, Attorney in Fact for David H. Francisco

DATE

February 14, 2002