Edgar Filing: BRACKEN FRANK A - Form 4

BRACKEN FRANK A Form 4 January 07, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

Section 30(f)	of the Investment (Company Act of 1940	
[_] Check box if no longer may continue. See Instr		16. Form 4 or Form 5 obliga	ations
1. Name and Address of Rep	orting Person*		
Bracken	Frank	A	
(Last)	(First)	(Middle)	
5150 Plantation Drive			
	(Street)		
Indianapolis	IN	46205	
(City)	(State)	(Zip)	
2. Issuer Name and Ticker	or Trading Symbol		
First Merchants Corporation	- FRME		
3. IRS Identification Numb	er of Reporting Pers	on, if an Entity (Voluntary	у)
304-44-5290			
4. Statement for Month/Yea	r		
December/2001			
5. If Amendment, Date of C	riginal (Month/Year)		
6. Relationship of Reporti (Check all applicable)	ng Person to Issuer		
<pre>[x] Director [_] Officer (give titl</pre>	•	_] 10% Owner _] Other (specify below)	
[-] OTTTOOT (ATAG CICI	C DCIOW,	-1 cource (placetel neton)	

Edgar Filing: BRACKEN FRANK A - Form 4

[x] Form filed by one Reporting Person [_] Form filed by more than one Reporting Person Table I Non-Derivative Securities Acquired, Disposed of,		
Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) 3. Disposed of (D) Transaction (Instr. 3, 4 and 5) Code 1. Transaction (Instr. 8) (A) Date (Instr. 3) Common Stock Common Stock Common Stock * If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Response) FORM 4 (continued) Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) 3. Disposed of (D) Transaction (Instr. 3, 4 and 5) Code 1. Transaction (Instr. 8) (A) Date (Instr. 3) Common Stock Common Stock Common Stock * If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Response) FORM 4 (continued) Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
Securities Acquired (A) 3. Disposed of (D) Transaction (Instr. 3, 4 and 5) 2. Code Transaction (Instr. 8) Date (Instr. 3) Common Stock Common Stock * If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Response) (Over) FORM 4 (continued) Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
Title of Security (Instr. 3) (mm/dd/yy) Code V (D) Common Stock Common Stock * If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Response) (Over) FORM 4 (continued) Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)	nd 5)	
Common Stock * If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Response) (Over) FORM 4 (continued) Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)	Price	
Common Stock * If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Response) (Over) FORM 4 (continued) Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
Common Stock * If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Response) (Over) FORM 4 (continued) Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Response) (Over) FORM 4 (continued) Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
A(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Response) (Over) FORM 4 (continued) Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
A(b)(v). Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Response) (Over) FORM 4 (continued) Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
owned directly or indirectly. (Print or Type Response) (Over) FORM 4 (continued) Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
FORM 4 (continued) Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)		
(e.g., puts, calls, warrants, options, convertible securities)		
2. Conver- sion Number of Derivative Exer- 4. Securities Date Securitie cise 3. Trans- Acquired (A) Exercisable and (Instr. 3)	ying s	

Edgar Filing: BRACKEN FRANK A - Form 4

	Price	Trans-	action	or Disp	osed	Expirati	on Date		
1.	of	action	Code	of(D)		(Month/D	ay/Year)		Amount
Title of	Deriv-	Date	(Instr.	(Instr. 3,					or
Derivative	ative	(Month/	8)	4 and 5)	Date	Expira-		Number
Security	Secur-	Day/				Exer-	tion		of
(Instr. 3)	ity	Year)	Code V	(A)	(D)	cisable	Date	Title	Shares

Non-Employee Director Stock Option (Right to Buy)

Explanation of Responses: (1) Includes 6.9994 shares acquired in December 2001, under the First Merchants Corporation dividend reinvestment plan.

/s/ Larry R. Helms

January 7, 2002

**Signature of Reporting Person
Frank A. Bracken
(Confirming Statement on File)

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Page 2