

JENNINGS KEVIN

Form 3

May 25, 2018

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

JENNINGS KEVIN

(Last) (First) (Middle)

C/O LAM RESEARCH
CORPORATION, 4560
CUSHING PARKWAY

(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/16/2018

3. Issuer Name and Ticker or Trading Symbol
LAM RESEARCH CORP [LRCX]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

Senior Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

9,026 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Market-based Performance Restricted Stock Unit	Â (2)	Â (2)	Common Stock	7,233	\$ 0	D	Â
Market-based Performance Restricted Stock Unit	Â (3)	Â (3)	Common Stock	5,828	\$ 0	D	Â
Market-based Performance Restricted Stock Unit	Â (4)	Â (4)	Common Stock	4,211	\$ 0	D	Â
Employee Stock Option (Right to Buy)	Â (5)	03/01/2024	Common Stock	4,660	\$ 119.67	D	Â
Employee Stock Option (Right to Buy)	Â (6)	03/01/2025	Common Stock	3,368	\$ 190.07	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JENNINGS KEVIN C/O LAM RESEARCH CORPORATION 4560 CUSHING PARKWAY FREMONT, CA 94538	Â	Â	Â Senior Vice President	Â

Signatures

Leign Cramer by Power of Attorney 05/25/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Amount reported includes shares subject to unvested Restricted Stock Units.

These Market-based Performance Restricted Stock Units vest between 0% and 150% on 3/1/19. The performance of this award is based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 2/1/16 through 1/31/19.

These Market-based Performance Restricted Stock Units vest between 0% and 150% on 3/1/20. The performance of this award is based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 2/1/17 through 1/31/20.

These Market-based Performance Restricted Stock Units vest between 0% and 150% on 3/1/21. The performance of this award is based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 2/1/18 through 1/31/21.

(5) These stock options become exercisable in three equal installments on 3/1/18, 3/1/19, and 3/1/20.

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(6) These stock options become exercisable in three equal installments on 3/1/19, 3/1/20, and 3/1/21.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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