Sandgren James A Form 4 May 01, 2019

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

Sandgren James A

1. Name and Address of Reporting Person \*

Č		OLD N [ONB]		AL BANC	ORP	/IN/	(Check all applicable)			
(Last) ONE MAIN	, ,	(Month/	ddle) 3. Date of Earliest Transaction (Month/Day/Year) 02/01/2019				Director 10% Owner X Officer (give title Other (specify below) PRESIDENT & COO			
EVANSVIL	(Street) LE, IN 47708	4. If Am		Oate Origina ar)	I	App _X_ 	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Securi	ties Acquire	d, Disposed of, o	r Beneficially	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		Code	tionor Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK							5,734	I (1)	ONB KSOP	
COMMON STOCK							2,286	D (4)		
COMMON STOCK	03/15/2019		J	32	A	\$ 17.2481	4,220	D (2)		
COMMON STOCK	03/29/2019		L '	V 116	A	\$ 15.58	4,335	D		
COMMON STOCK	02/01/2019		D '	V 5,417	D	\$ 16.36	126,202	D		

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COMMON STOCK	02/01/2019	F	V	3,607	A	\$ 16.36	129,809	D
COMMON STOCK	02/14/2019	D	V	15,000	D	\$ 17.11	114,809	D
COMMON STOCK	02/14/2019	F	V	15,212	A	\$ 17.11	130,021	D
COMMON STOCK	03/15/2019	J	V	456	A	\$ 17.2481	130,477	D (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Title and Am Underlying Sec (Instr. 3 and 4)	curities
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
PHANTOM STOCK	\$ 16.56				(5)	(5)	COMMON STOCK	57 <u>(6)</u>

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Sandgren James A ONE MAIN ST PRESIDENT & COO

**EVANSVILLE, IN 47708** 

### **Signatures**

JEFFREY L KNIGHT, EXECUTIVE VICE PRESIDENT AND GENERAL COUNSEL, AS 05/01/2019 ATTORNEY-IN-FACT

Reporting Owners 2 \*\*Signature of Reporting Person

#### Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) KSOP balance updated based upon current data.
- (2) Old National Bancorp Employee Stock Purchase Plan.
- (3) Includes 56,250 restricted stock units, 13,334 restricted stock shares and 60,893 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (4) Shares held with a broker in an IRA
- (5) Shares of phantom stock are payable in cash following termination of the reporting person's employment with ONB or reporting person becoming disabled. The reporting person may transfer his phantom stock account into an alternative investment account at any time.
- (6) Each share of phantom stock represents the right to receive one share of ONB common stock or the cash value thereof.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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