Edgar Filing: GOODE DAVID R - Form 4

GOODE DAVID R Form 4 March 11, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

| Name and Address of Reporting Person* | | | | | me and Tic | | P | 6. Relationship of Reporting Person(s) | | | | | |
|--|-----------------------------|--------------------------------|-----------|---|--|------------------|-------------|---|---------------------------|--|----------------------------------|--|--|
| Goode, David R. (Last) (First) (Middle) Three Commercial Place | | | | rting | ntification I Person, voluntary) | Numbei | Mo | Statement for onth/Day/Year arch 10, 2003 | <u>X</u> 1 <u>X</u> | to Issuer (Check all applicable) X Director 10% Owner X Officer (give title below) Other (specify below) | | | |
| | | | | | | | | | | Chairman, Pre nd Director | sident and CEO | | |
| | (Street) | | | | | | 5.] | If Amendment, | 7 | . Individual or | Joint/Group Filing | | |
| Norfolk,, VA 23510-2191 | | | | | | | | Date of Original (Month/Day/Year) | | (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Т | Table I Non-Derivative Securities Acquired, Dis | | | | | | | posed of, or Beneficially Owned | | |
| | 2. Trans- action Date | 2A. Deemed Execution Date, | (Instr. 8 | Code) | 4. Securitie (A) or Disp (Instr. 3, 4 | osed of & 5) | f (D) | 5. Amount of Securities Beneficially Owned Follow- | | ship Form: Direct (D) | 7. Nature of Indirect Beneficial | | |
| | (Month/ Day/ Year) | if any (Month/Day/ Year) | Code | V | Amount | (A) or (D) | Price | ing Reported Transactions(s) (Instr. 3 & 4) | | or Indirect (I) (Instr. 4) | (Instr. 4) | | |
| Common Stock | | | | | | | | | 502,599 <u>(1)</u> | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| - | | | | <i>,</i> , , | | | | | | | |
|---|-------------|------------|-------------|--------------|---------|-------------------|---------------------|---------------------|-------------|--------------|-----------|
| ŀ | 1. Title of | 2. Conver- | 3. Trans- | 3A. | 4. | 5. Number of | 6. Date Exercisable | 7. Title and Amount | 8. Price of | 9. Number of | 10. |
| ŀ | Derivative | sion or | action Date | Deemed | Trans- | Derivative | and Expiration | of Underlying | Derivative | Derivative | Owner- |
| | Security | Exercise | 1 ' | Execution | action | Securities | Date | Securities | Security | Securities | ship |
| | , | Price of | (Month/ | Date, | Code | Acquired (A) or | (Month/Day/ | (Instr. 3 & 4) | (Instr. 5) | Beneficially | Form |
| (| (Instr. 3) | Derivative | Day/ | if any | 1 | Disposed of (D) | Year) | | 1 | Owned | of Deriv- |
| Ī | , | Security | Year) | (Month/ | (Instr. | | | | 1 | Following | ative |
| | , | ' | 1 | Day/ | 8) | (Instr. 3, 4 & 5) | | | | Reported | Security: |

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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| | | Year) | Code | V | (A) | (D) | Date | Expira- | Title | Amount or | | Transaction(s) | Direct |
|----------|-------------------------|-------|------|---|---------------------------|-----|--------------|---------|--------|------------|----------------------|----------------|------------|
| | | | | | | | Exer-cisable | tion | | Number of | | (Instr. 4) | (D) |
| | | | | | | | | Date | | Shares | | | or |
| | | | | | | | | | | | | | Indirect |
| | | | | | | | | | | | | | (I) |
| | | | | | | | | | | | | | (Instr. 4) |
| Deferred | 03-10-03 ⁽²⁾ | | A | | 3,356.6811 ⁽²⁾ | | | | Common | 3,356.6811 | 18.56 ⁽²⁾ | 83,483.1171 | D |
| Stock | | | | | | | | | Stock | | | , | |
| Units | | | | | | | | | | | | | |

Explanation of Responses:

(1) Includes 12,450 shares, the approximate number of whole shares of Common Stock estimated -- on the basis of the unit accounting system used by the Plan Administrator -- as of March 10, 2003, the last date on which a formal statement was available, to have been credited to the reporting person's account in the Norfolk Southern Corporation Thrift and Investment Plan (TIP), a trusteed 401(k) plan. In accordance with TIP's terms applicable to all participants, acquisitions were made at various times and at various prices.

(2) Reports the number of deferred stock units credited to the account of the reporting person on the basis of the market value of the Common Stock on the dividend payment date. These deferred units ultimately will be satisfied in cash, not in shares of Common Stock.

By: /s/ <u>D. M. Martin</u>
via Power of Attorney for David R. Goode

**Signature of Reporting Person

March 11, 2003
Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).