#### ATHOE MAUREEN MARY

Form 4

December 07, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* ATHOE MAUREEN MARY

2. Issuer Name and Ticker or Trading Symbol

MOOG INC. [MOGA/MOGB]

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Last) (First)

(Middle)

3. Date of Earliest Transaction

Director

SENECA ST AND JAMISON RD

(Street)

(Month/Day/Year) 12/05/2018

10% Owner X\_ Officer (give title Other (specify below)

Vice President

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

EAST AURORA, NY 14052

(City)

(City)	(State) (Z	Zip) Table	I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securi		or	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	Disposed (Instr. 3,	4 and (A) or	1	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
Class A Common			Code	rimount	(D)	Titee	5,237	D	
Class B Common	12/05/2018		A <u>(1)</u>	532	A (1)	\$ 0 (2)	994	D	
Class B Common (3)							353	I	401 (k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Der Sec Acc (A) Dis of (Ins	ivativ urities juired or posed		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Deriva Securit (Instr. :
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
SAR (4)	\$ 36.41						<u>(5)</u>	11/27/2022	Class A Common	4,000	
SAR (4)	\$ 61.69						<u>(5)</u>	11/11/2023	Class A Common	2,000	
SAR (4)	\$ 74.38						<u>(5)</u>	11/11/2024	Class A Common	2,000	
SAR (6)	\$ 63.04						<u>(5)</u>	11/17/2025	Class A Common	3,333	
SAR (6)	\$ 65.9						<u>(5)</u>	11/17/2025	Class B Common	6,667	
SAR (6)	\$ 71.648						<u>(5)</u>	11/15/2026	Class B Common	10,000	
SAR (6)	\$ 82.31						<u>(5)</u>	11/14/2027	Class B Common	6,181	
SAR (6)	\$ 80.19						<u>(5)</u>	11/13/2028	Class B Common	6,988	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
ATHOE MAUREEN MARY							
SENECA ST AND JAMISON RD			Vice President				
EAST AURORA, NY 14052							

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### **Signatures**

Timothy P. Balkin, as Power of Attorney for Maureen Mary Athoe

12/07/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Awarded under the 2014 Moog Inc. Long Term Incentive Plan.
- (2) Stock bonus.
- (3) Reflects equivalent shares held in Moog Inc. Retirement Savings Plan as of the most recent statement.
- (4) Stock Appreciation Right (SAR) granted under the 2008 Appreciation Rights Plan.
- (5) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- (6) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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