#### Edgar Filing: LOWES COMPANIES INC - Form 4

#### LOWES COMPANIES INC

Form 4

September 18, 2013

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#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

Expires:

January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * AUSURA MAUREEN K |          | ng Person * | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>LOWES COMPANIES INC [LOW] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                            |  |  |  |
|--|----------|-------------|--|---|--|--|--|
| (Last)   | (First)  | (Middle)    | 3. Date of Earliest Transaction  | (Check an applicable)   |  |  |  |
| 1000 LOWE'S BOULEVARD                                      |          | ARD         | (Month/Day/Year)<br>09/16/2013   | Director 10% OwnerX Officer (give title Other (specify below) Chief Human Resources Officer         |  |  |  |
|  | (Street) |             | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check   |  |  |  |
| MOORESVILLE, NC 28117                                      |          |             | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reportin Person |  |  |  |

| (City)                               | (State)                              | Zip) Table                              | e I - Non-D               | erivative S                 | ecurities | Acquired, Disposed                                       | of, or Beneficial                      | lly Owned                        |
|--------------------------------------|--------------------------------------|---|---------------------------|-----------------------------|-----------|--|--|----------------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any | 3.<br>Transaction<br>Code | 4. Securition(A) or Dis (D) |           |  | 6. Ownership<br>Form: Direct<br>(D) or | 7. Nature of Indirect Beneficial |
|                                      |                                      | (Month/Day/Year)                        | (Instr. 8)  Code V        | (Instr. 3, 4) Amount        | (A)<br>or | Owned Following Reported Transaction(s) (Instr. 3 and 4) | Indirect (I)<br>(Instr. 4)             | Ownership<br>(Instr. 4)          |
| Common<br>Stock                      | 09/16/2013                           |   | A                         | 16,000<br>(1)               | A \$      | 0 110,019 (2)  | D                                      |                                  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, |             | Date       | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) |                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---------------------------------------|--|-------------|------------|---|------------------------|---|---|
|   |   |   |   |                                       | 4, and 5)  | Date        | Expiration |   | Amount                 |   |   |
|   |   |   |   | Code V                                | (A) (D)  | Exercisable | Date       | Title   | Number<br>of<br>Shares |   |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

AUSURA MAUREEN K 1000 LOWE'S BOULEVARD MOORESVILLE, NC 28117

Chief Human Resources Officer

### **Signatures**

By: /s/ Sandra Felton by power of attorney For: Maureen K. Ausura

09/18/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock granted pursuant to 2006 Long-Term Incentive Plan. The shares will fully vest on September 16, 2016.
- (2) Direct holdings include 296 shares acquired under the Lowe's Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2