

AMERICAN ELECTRIC POWER CO INC
Form U-9C-3
November 23, 2004

File No. 074-00015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2004

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

by
AMERICAN ELECTRIC POWER COMPANY, INC.
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.
FORM U-9C-3
For the Quarter Ended September 30, 2004

CONTENTS

- ITEM 1 - Organization Chart as of September 30, 2004
- ITEM 2 - Issuances and Renewals of Securities and Capital Contributions
- ITEM 3 - Associated Transactions
- ITEM 4 - Summary of Aggregate Investment
- ITEM 5 - Other Investments
- ITEM 6 - Financial Statements and Exhibits
 - Statements of Income
 - Balance Sheets
 - Exhibits

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

ITEM 1 ORGANIZATION CHART AS OF SEPTEMBER 31, 2004

Company names are indented and numbered to identify them as subsidiaries of the company that is listed immediately above them at the next tier. Some companies are subsidiaries of more than one company. The reference code for each subsidiary refers to the supplemental schedule to the organization chart that provides details regarding date and state of organization, nature of business, etc. required to be reported under Item 1.

	Reference Code
00. American Electric Power Company, Inc. (Parent of Rule 58 Companies shown below)	
01. American Electric Power Service Corporation (Not a Rule 58 Company)	
01. AEP C&I Company LLC	1
02. AEP Texas Commercial & Industrial Retail GP, LLC	2
03. AEP Texas Commercial & Industrial Retail LP	3
02. AEP Texas Commercial & Industrial Retail LP	3
02. AEP Gas Power GP, LLC	4
03. AEP Gas Power Systems LLC	5
02. REP Holdco LLC	6
03. Mutual Energy SWEPCO LLC	7
03. REP General Partner LLC	8
04. Mutual Energy SWEPCO LLC	7
01. AEP Coal, Inc.	9
02. Snowcap Coal Company, Inc.	10
02. AEP Kentucky Coal LLC	11
02. AEP Ohio Coal LLC	12
02. AEP West Virginia Coal, Inc.	13
02. Springdale Land LLC	14
01. AEP Energy Services, Inc.	15
01. AEP Investments, Inc. (Not a Rule 58 Company)	
02. AEP EmTech LLC	16
03. Universal Supercapacitors LLC	17
03. Integrated Fuel Cell Technologies, Inc.	18
03. Distribution Vision 2010, LLC	19
02. Dynelec, Inc.	20
02. Intercontinental Exchange, Inc.	21
02. PowerSpan Corporation	22
02. AEMT, Inc.	23
02. Enerwise Global Technologies, Inc.	24
02. Powerware Solutions, Inc.	25
02. PHPK Technologies, Inc.	26
01. Mutual Energy LLC	27
02. AEP Ohio Retail Energy LLC	28
01. AEP Power Marketing Inc.	29
02. AEP Coal Marketing LLC	30
02. AEP Emissions Marketing LLC	31
01. AEP T&D Services LLC	32
01. AEP Pro Serv, Inc. (Not a Rule 58 Company)	
02. Diversified Energy Contractors Company LLC	33
03. DECCO II LLC	34
04. Diversified Energy Contractors LP	35
03. Diversified Energy Contractors LP	35
02. United Sciences Testing, Inc.	36
01. AEP Texas POLR LLC	37
02. AEP Texas POLR GP, LLC	38
03. POLR Power LP	39
02. POLR Power LP	39
01. AEP Utilities, Inc. (Not a Rule 58 Company)	
02. Southwestern Electric Power Company (Not a Rule 58 Company)	
03. The Arkklahoma Corporation	40

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

	Reference Code
	<hr/>
03. Southwest Arkansas Utilities Corporation	41
03. Dolet Hills Lignite Company LLC	42
02. CSW Energy, Inc. (Not a Rule 58 Company)	
03. CSW Development-I, Inc.	43
04. CSW Mulberry II, Inc.	44
05. CSW Mulberry, Inc.	45
04. Noah I Power GP, Inc.	46
05. Noah I Power Partners LP	47
04. CSW Orange II, Inc.	48
05. CSW Orange, Inc.	49
03. CSW Ft. Lupton, Inc.	50
04. Thermo Cogeneration Partnership LP	51
03. CSW Sweeny GP I, Inc.	52
04. CSW Sweeny GP II, Inc.	53
05. Sweeny Cogeneration LP	54
03. CSW Sweeny LP I, Inc.	55
04. CSW Sweeny LP II, Inc.	56
05. Sweeny Cogeneration Limited Partnership	54
03. CSW Power Marketing, Inc.	57
03. CSW Services International, Inc.	58
02. CSW Energy Services, Inc.	59
03. Nuvest LLC	60
04. National Temporary Services, Inc.	61
05. Octagon, Inc.	62
04. Numanco LLC	63
05. NuSun, Inc.	64
06. Sun Technical Services, Inc.	65
06. Calibration and Testing Corporation	66
05. ESG LLC	67
05. Numanco Services LLC	68

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

Item 1 - Organization Chart (Continued)

	NAME OF REPORTING COMPANY	ENERGY OR GAS- RELATED COMPANY	DATE OF ORGAN- IZATION	STATE OF ORGAN- IZATION	PERCENTAGE OF VOTING SECURITIES HELD DIRECTLY OR INDIRECTLY BY AMERICAN ELECTRIC POWER COMPANY	NATURE OF BUSINESS
1	AEP C&I Company LLC	Energy	10/10/00	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
2	AEP Texas Commercial & Industrial Retail GP, LLC	Energy	12/11/00	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
3	AEP Texas Commercial & Industrial Retail LP	Energy	12/12/00	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
4	AEP Gas Power GP LLC	Energy	12/19/00	Delaware	100.00%	Distributed generation products
5	AEP Gas Power Systems LLC	Energy	07/09/96	Delaware	75.00%	Distributed generation products
6	REP Holdco LLC	Energy	04/04/01	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
7	Mutual Energy SWEPCO LLC	Energy	04/04/01	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
8	REP General Partner LLC	Energy	04/04/01	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
9	AEP Coal, Inc.	Energy	10/29/01	Nevada	100.00%	Coal mining
10	Snowcap Coal Company, Inc.	Energy	10/29/01	Delaware	100.00%	Coal mining
11	AEP Kentucky Coal LLC	Energy	10/29/01	Delaware	100.00%	Coal mining
12	AEP Ohio Coal LLC	Energy	10/26/01	Delaware	100.00%	Coal mining
13	AEP West Virginia Coal, Inc.	Energy	10/29/01	Nevada	100.00%	Coal mining
14	Springdale Land LLC	Energy	02/11/03	Delaware	100.00%	Coal mining
15	AEP Energy Services, Inc.	Energy	09/24/96	Ohio	100.00%	Broker and market energy commodities

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

	NAME OF REPORTING COMPANY	ENERGY OR GAS- RELATED COMPANY	DATE OF ORGAN- IZATION	STATE OF ORGAN- IZATION	PERCENTAGE OF VOTING SECURITIES HELD DIRECTLY OR INDIRECTLY BY AMERICAN ELECTRIC POWER COMPANY	NATURE OF BUSINESS
16	AEP EmTech LLC	Energy	01/03/01	Delaware	100.00%	Development + commercialization of electrotechnologies
17	Universal Supercapacitors LLC	Energy	04/16/02	Delaware	50.00%	Energy investments
18	Integrated Fuel Cell Technologies, Inc.	Energy	04/04/01	Delaware	0.10%	Energy investments
19	Distribution Vision 2010 LLC	Energy	10/02/01	Delaware	20.00%	Energy investments
20	Dynelec, Inc.	Energy	06/03/94	Ohio	1.20%	Energy investments
21	Intercontinental Exchange, Inc.	Energy	06/16/00	Delaware	5.30%	Marketing of natural gas, electricity, or energy-related products
22	Powerspan Corporation	Energy	05/15/97	Delaware	9.80%	Energy Investments
23	AEMT, Inc.	Energy	12/23/97	Florida	27.00%	Energy Investments
24	Enerwise Global Technologies, Inc.	Energy	03/02/01	Delaware	5.00%	Energy investments
25	Powerware Solutions, Inc.	Energy	02/15/94	Texas	4.00%	Energy Investments
26	PHPK Technologies, Inc.	Energy	07/01/91	Ohio	40.40%	Energy Investments
27	Mutual Energy LLC	Energy	12/17/99	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
28	AEP Ohio Retail Energy LLC	Energy	09/05/00	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
29	AEP Power Marketing, Inc.	Energy	07/22/96	Ohio	100.00%	Marketing of natural gas, electricity or energy related products
30	AEP Coal Marketing LLC	Energy	01/22/03	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
31	AEP Emissions Marketing LLC	Energy	01/22/03	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
32	AEP T&D Services LLC	Energy	12/12/00	Delaware	100.00%	Energy services including operations, supply chain, transmission and distribution

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

	NAME OF REPORTING COMPANY	ENERGY OR GAS- RELATED COMPANY	DATE OF ORGAN- IZATION	STATE OF ORGAN- IZATION	PERCENTAGE OF VOTING SECURITIES HELD DIRECTLY OR INDIRECTLY BY AMERICAN ELECTRIC POWER COMPANY	NATURE OF BUSINESS
33	Diversified Energy Contractors Company LLC	Energy	07/03/97	Delaware	100.00%	Non-regulated energy-related services and projects
34	DECCO II LLC	Energy	08/08/97	Delaware	100.00%	Non-regulated energy-related services and projects
35	Diversified Energy Contractors LP	Energy	08/18/97	Delaware	100.00%	Non-regulated energy-related services and projects
36	United Sciences Testing, Inc.	Energy	05/07/97	Delaware	100.00%	Coal cleaning technology
37	AEP Texas POLR LLC	Energy	12/11/00	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
38	AEP Texas POLR GP, LLC	Energy	11/14/01	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
39	POLR Power LP	Energy	11/14/01	Delaware	100.00%	Marketing of natural gas, electricity or energy related products
40	The Arklahoma Corporation	Energy	05/16/47	Arkansas	44.20%	Electric transmission
41	Southwest Arkansas Utilities Corporation	Energy	03/21/28	Arkansas	100.00%	Real estate
42	Dolet Hills Lignite Company LLC	Energy	04/09/01	Delaware	100.00%	Coal mining
43	CSW Development-I, Inc.	Energy	12/06/90	Delaware	100.00%	Independent power plant/qualifying facility
44	CSW Mulberry II, Inc.	Energy	03/21/95	Delaware	100.00%	Independent power plant/qualifying facility
45	CSW Mulberry, Inc.	Energy	02/03/94	Delaware	100.00%	Independent power plant/qualifying facility
46	Noah I Power GP, Inc.	Energy	05/14/91	Delaware	100.00%	Independent power plant/qualifying facility
47	Noah I Power Partners LP	Energy	05/16/92	Delaware	96.00%	Independent power plant/qualifying facility
48	CSW Orange II, Inc.	Energy	03/16/95	Delaware	100.00%	Independent power plant/qualifying facility

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

	NAME OF REPORTING COMPANY	ENERGY OR GAS- RELATED COMPANY	DATE OF ORGAN- IZATION	STATE OF ORGAN- IZATION	PERCENTAGE OF VOTING SECURITIES HELD DIRECTLY OR INDIRECTLY BY AMERICAN ELECTRIC POWER COMPANY	NATURE OF BUSINESS
49	CSW Orange, Inc.	Energy	04/21/93	Delaware	100.00%	Independent power plant/ qualifying facility
50	CSW Ft. Lupton, Inc.	Energy	04/01/93	Delaware	100.00%	Independent power plant/ qualifying facility
51	Thermo Cogeneration Partnership, LP	Energy	03/17/93	Delaware	50.00%	Independent power plant/ qualifying facility
52	CSW Sweeny GP I, Inc.	Energy	09/06/95	Delaware	100.00%	Independent power plant/ qualifying facility
53	CSW Sweeny GP II, Inc.	Energy	09/06/95	Delaware	100.00%	Independent power plant/ qualifying facility
54	Sweeny Cogeneration LP	Energy	10/10/95	Delaware	50.00%	Independent power plant/ qualifying facility
55	CSW Sweeny LP I, Inc.	Energy	09/06/95	Delaware	100.00%	Independent power plant/ qualifying facility
56	CSW Sweeny LP II, Inc.	Energy	09/06/95	Delaware	100.00%	Independent power plant/ qualifying facility
57	CSW Power Marketing, Inc.	Energy	03/08/96	Delaware	100.00%	Energy management
58	CSW Services International, Inc.	Energy	03/19/97	Delaware	100.00%	Energy management
59	CSW Energy Services, Inc.	Energy	09/24/97	Delaware	100.00%	Non-regulated energy-related services and projects
60	Nuvest LLC	Energy	02/20/96	Delaware	92.90%	Staff augmentation to power plants
61	National Temporary Services, Inc.	Energy	12/31/90	Pennsylvania	100.00%	Staff augmentation to power plants
62	Octagon, Inc.	Energy	11/05/93	Delaware	100.00%	Staff augmentation to power plants
63	Numanco LLC	Energy	04/08/96	Oklahoma	100.00%	Staff augmentation to power plants
64	NuSun, Inc.	Energy	05/24/99	Delaware	100.00%	Staff augmentation to power plants
65	Sun Technical Services, Inc.	Energy	01/16/91	California	100.00%	Staff augmentation to power plants
66	Calibration and Testing Corporation	Energy	01/02/80	California	100.00%	Staff augmentation to power plants

	NAME OF REPORTING COMPANY	ENERGY OR GAS- RELATED COMPANY	DATE OF ORGAN- IZATION	STATE OF ORGAN- IZATION	PERCENTAGE OF VOTING SECURITIES HELD DIRECTLY OR INDIRECTLY BY AMERICAN ELECTRIC POWER COMPANY	NATURE OF BUSINESS
67	ESG LLC	Energy	11/27/96	Oklahoma	50.00%	Staff augmentation to power plants
68	Numanco Services LLC	Energy	09/24/00	Oklahoma	100.00%	Staff augmentation to power plants

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

SECURITIES:

<u>Company Issuing Security</u>	<u>Type of Security Issued</u>	<u>Principal Amount of Security</u>	<u>Issue or Renewal</u>	<u>Cost of Capital</u>	<u>Person to Whom Security Was Issued</u>	<u>Collateral Given With Security</u>	<u>Consideration Received for Each Security</u>
(in thousands)							
None							

CAPITAL CONTRIBUTIONS:

<u>Company Contributing Capital</u>	<u>Company Receiving Capital</u>	<u>Amount of Capital Contributions</u>
(in thousands)		
None		

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

ITEM 3 ASSOCIATED TRANSACTIONS

Part I Transactions performed by reporting companies on behalf of associate companies

<u>Reporting Company Rendering Services</u>	<u>Associate Company Receiving Services</u>	<u>Types of Services Rendered</u>	<u>Direct Costs Charged</u>	<u>Indirect Costs Charged</u>	<u>Cost of Capital</u>	<u>Total Amount Billed</u>
(in thousands)						
Nuvest LLC	AEP Texas Central Company - South Texas Project	Engineering	\$1,129	\$-	\$-	\$1,129
Nuvest LLC	American Electric Power Service Corporation - Regional Service Organization	Engineering	1,587	-	-	1,587
Nuvest LLC	Indiana Michigan Power Company - DC Cook Plant	Engineering	3,514	-	-	3,514
Nuvest LLC	Various AEP Utility Operating Companies	Engineering	3,155	-	-	3,155
	Total		\$9,385	\$-	\$-	\$9,385

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

ITEM 3 ASSOCIATED TRANSACTIONS (CONTINUED)

Part II Transactions performed by associate companies on behalf of reporting companies

<u>Associate Company Rendering Services</u>	<u>Reporting Company Receiving Services</u>	<u>Types of Services Rendered</u>	<u>Direct Costs Charged</u>	<u>Indirect Costs Charged</u>	<u>Cost of Capital</u>	<u>Total Amount Billed</u>
(in thousands)						
American Electric Power Service Corporation	AEP Energy Services, Inc.	Administrative & Marketing	\$1,572	\$1,472	\$-	\$3,044
Houston Pipeline Company LP	AEP Energy Services, Inc.	Asset Management	6,250	-	-	6,250
Jefferson Island Storage & Hub LLC	AEP Energy Services, Inc.	Asset Management	1,250	-	-	1,250
CSW Energy, Inc.	Polk Power Partners, LP	Plant Services	642	243	-	885
CSW Energy, Inc.	Orange Cogeneration LP	Plant Services	498	242	-	740
CSW Services International, Inc.	Sweeny Cogeneration LP	Plant Services	332	850	-	1,182
Total			<u>\$10,544</u>	<u>\$2,807</u>	<u>\$-</u>	<u>\$13,351</u>

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

	(in thousands)	
Total consolidated capitalization as of September 30, 2004	\$21,267,000(a)	Line 1
Total capitalization multiplied by 15% (line 1 multiplied by 0.15)	3,190,050	Line 2
Greater of \$50 million or line 2	\$3,190,050	Line 3
Total current aggregate investment: (categorized by major line of energy-related business)		
Energy-related Category 1: Energy Services	-	
Energy-related Category 5: Energy Marketing	1,839,932	
Energy-related Category 7: Maintenance Services	52,498	
Energy-related Category 8: Qualifying Facility	642,701	
Energy-related Category 10: Other Form of Energy	136,321	
Energy-related Category 2,3,4,6,9	63,529	
Total current aggregate investment	<u>2,734,981</u>	Line 4
Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4)	<u>\$455,069</u>	Line 5

(a) Includes short-term debt.

Investments in gas-related companies:

NONE

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

ITEM 5 OTHER INVESTMENTS

<u>Major Line of Energy-Related Business</u>	<u>Other Investment in Last U-9C-3 Report</u>	<u>Other Investment in This U-9C-3 Report</u>	<u>Reason for Difference in Other Investment</u>
(in thousands)			
CSW Energy Services Invested prior to April 1, 1997	\$2,696	\$2,696	Amounts are excluded from Item 4
Independent power plant/ qualifying facility Invested prior to April 1, 1997	194,644	194,644	Amounts are excluded from Item 4

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

ITEM 6 FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

Financial Statements:

Balance sheets and Income Statements for period ended September 30, 2004 are filed confidentially under a separate cover for:

AEP C&I Company LLC
AEP Coal, Inc.
AEP EmTech LLC
AEP Energy Services, Inc.
AEP Gas Power GP, LLC
AEP Gas Power Systems LLC
AEP Power Marketing, Inc.
AEP T&D Services LLC
AEP Texas Commercial & Industrial Retail LP
AEP Texas Commercial & Industrial Retail GP, LLC
AEP Texas POLR GP, LLC
CSW Development-I, Inc. (consolidated)
CSW Energy, Inc. (consolidated)
CSW Energy Services, Inc.
CSW Ft. Lupton, Inc.
CSW Power Marketing, Inc.
CSW Services International, Inc.
Diversified Energy Contractors Company LLC (DECCO)
Dolet Hills Lignite Company LLC
Mutual Energy LLC (consolidated)
Mutual Energy SWEPCO LP
Nuvest LLC
POLR Power LP
REP General Partner LLC
Sweeny Cogeneration LP

Exhibit:

1. Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas State Commissions.

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

CERTIFICATE

The undersigned certifies that he is the duly designated and acting Controller and Chief Accounting Officer of American Electric Power Company, Inc., a New York corporation (AEP), and that:

AEP s Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended September 30, 2004 (the Quarterly Report on Form U-9C-3) was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the Specific State Commissions).

The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission
302 West Washington Street, E 306
Indianapolis, Indiana 46204

Kentucky State Commission
211 Sower Boulevard
P.O. Box 615
Frankfort, Kentucky 40602

Michigan Public Service Commission
6545 Mercantile Way
P.O. Box 30221
Lansing, Michigan 48909

Public Service Commission of West Virginia
201 Brooks Street
P.O. Box 812
Charleston, West Virginia 25323

Tennessee Regulatory Authority
460 James Robertson Parkway
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio
180 East Broad Street
Columbus, Ohio 43215

Virginia State Corporation Commission
1300 East Main Street
P.O. Box 2118
Richmond, Virginia 23216

Arkansas Public Service Commission
1000 Center
P.O. Box 400
Little Rock, AR 72203-0400

Louisiana Public Service Commission
One American Place
P.O. Box 91154
Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission
2102 N. Lincoln Blvd.
P.O. Box 52000-2000
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas
1701 N. Congress Avenue
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 23rd day of November, 2004.

By: /s/ Joseph M. Buonaiuto

Joseph M. Buonaiuto
Controller and Chief Accounting Officer
American Electric Power Company, Inc.

SIGNATURE

The undersigned system company has duly caused this quarterly report to be signed on its behalf by the undersigned, thereunto duly authorized, pursuant to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By: /s/ Joseph M. Buonaiuto

Joseph M. Buonaiuto
Controller and Chief Accounting Officer
American Electric Power Company, Inc.

November 23, 2004