

Richard Mark
Form 3
February 20, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Richard Mark

(Last) (First) (Middle)

3000 N. SAM HOUSTON
PKWY E.

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/12/2019

3. Issuer Name and Ticker or Trading Symbol
HALLIBURTON CO [HAL]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer ____ Other
(give title below) (specify below)
President - Western Hemisphere

6. Individual or Joint/Group
Filing(Check Applicable Line)
____X____ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

105,096.537

D

^

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)
Title

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Edgar Filing: Richard Mark - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Option to Buy Common Stock	01/05/2010	01/05/2020	Common Stock	7,000	\$ 31.65	D	Â
Option to Buy Common Stock	01/01/2011	01/01/2021	Common Stock	4,600	\$ 40.83	D	Â
Option to Buy Common Stock	01/03/2012	01/03/2022	Common Stock	6,400	\$ 34.15	D	Â
Option to Buy Common Stock	01/03/2013	01/03/2023	Common Stock	13,900	\$ 36.31	D	Â
Option to Buy Common Stock	01/02/2014	01/02/2024	Common Stock	7,900	\$ 50.01	D	Â
Option to Buy Common Stock	01/02/2015	01/02/2025	Common Stock	14,807	\$ 39.49	D	Â
Option to Buy Common Stock	01/04/2016	01/04/2026	Common Stock	28,604	\$ 34.48	D	Â
Option to Buy Common Stock	01/03/2017	01/03/2027	Common Stock	17,119	\$ 55.68	D	Â
Option to Buy Common Stock (01/2018)	01/02/2018	01/02/2028	Common Stock	24,019	\$ 49.61	D	Â
Option to Buy Common Stock (12/2018)	12/20/2018	12/20/2028	Common Stock	43,924	\$ 27.14	D	Â
Restricted Stock Units (01/2015)	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	2,091	\$ <u>(2)</u>	D	Â
Restricted Stock Units (10/2015)	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	4,268	\$ <u>(2)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Richard Mark 3000 N. SAM HOUSTON PKWY E. HOUSTON, TX 77032	Â	Â	Â President - Western Hemisphere	Â

Signatures

Bruce A. Metzinger, by Power of Attorney

02/20/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in five equal annual installments beginning with the first anniversary of the award. Shares will be delivered to the reporting person upon vesting.
- (2) Each Restricted Stock Unit represents the right to receive one share of the Company's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.