Grubisich Jose C Form 4 January 03, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number: Expires:

3235-0287 January 31,

2005

0.5

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

Security

(Instr. 3)

may continue.

See Instruction

1. Name and Address of Reporting Person \* Grubisich Jose C

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

HALLIBURTON CO [HAL]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director 10% Owner Officer (give title Other (specify

RUA GAL. FURTADO DO NASCIMENTO, 66-ALTO DE **PINHEIROS** 

> (Street) 4. If Amendment, Date Original

(Middle)

Applicable Line)

Filed(Month/Day/Year)

12/31/2018

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAO PAULO - SP, D5 05465 070

(State) (Zip) (City) 2. Transaction Date 2A. Deemed 1. Title of

3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Owned (I) Ownership (Instr. 4) Following (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

(A)

or

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 7. Title and Amount of 1. Title of 5. 6. Date Exercisable and Derivative (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date **Underlying Securities** Conversion

8. Pr

Deri

### Edgar Filing: Grubisich Jose C - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	8	Year) (Instr. 3 and 4		4)	Secu (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
2018 Restricted Stock Units	<u>(1)</u>				(2)	(2)	Common Stock	4,268.45 (3)	
2017 Restricted Stock Units	<u>(1)</u>				(2)	(2)	Common Stock	4,384.24 ( <u>3)</u>	
2016 Restricted Stock Units	<u>(1)</u>				(2)	(2)	Common Stock	4,356.288 (3)	
2015 Restricted Stock Units	(1)				(2)	(2)	Common Stock	4,734.593 (3)	
2014 Restricted Stock Units	(1)				(2)	(2)	Common Stock	2,808.912 (3)	
08/2013 Restricted Stock Units	(1)				(2)	(2)	Common Stock	3,927.859 (3)	
03/2013 Restricted Stock Units	(1)				<u>(2)</u>	(2)	Common Stock	1,782.322 (3)	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. · ·	Director	10% Owner	Officer	Other		
Grubisich Jose C	X					
RUA GAL. FURTADO DO NASCIMENTO						

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66-ALTO DE PINHEIROS SAO PAULO - SP, D5 05465 070

# **Signatures**

/s/ Bruce A. Metzinger, by Power of Attorney

01/03/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a right to receive one share of the Company's common stock.
- (2) The restricted stock units vest in four equal annual installments beginning with the first anniversary of the award. Shares will be delivered to the reporting person either upon vesting, or if reporting person elected to defer receipt, following cessation as a director.
- (3) Includes dividend equivalent units through December 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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