Edgar Filing: GUERON JUDITH M - Form 4

GUERON JU	DITH M										
Form 4											
July 03, 2012											
FORM	4								OMB A	PPROVAL	
	UNITED	STATES		ITIES Al hington,			NGE	COMMISSION	OMB Number:	3235-0287	
Check this if no longe	ar .								Expires:	January 31,	
subject to	STATEMENT OF CHANGES IN BENEFICIAL OWNERSH						NERSHIP OF	Estimated average burden hours per			
Section 16		SECURITIES									
Form 4 or Form 5		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							response	0.5	
obligation	~ ^							-			
may contin See Instruct 1(b).	nue. Section 17(3			vestment (.	• •		of 1935 or Section 40	n		
(Print or Type R	esponses)										
CUEDON IUDITU M				2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
			ALCOA INC [AA]								
(Last) (First) (Middle)			3. Date of Earliest Transaction					(Check all applicable)			
201 ISABELLA STREET			(Month/Day/Year)					X Director	10%	Owner	
			07/02/2012					Difficer (give title below) Other (specify below)			
			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person			
PITTSBURG	GH, PA 15212							_X_ Form filed by C Form filed by M Person			
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecuri	ties Ac	quired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8)	4. Securit mAcquired Disposed (Instr. 3, 4	(A) o of (D)	Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(11311-1)	(
Common Stock								15,455.7448 (1)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price o Derivativ Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(2)</u>	07/02/2012	А	684	(3)	(3)	Common Stock	684	\$ 8.68

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	Director 10% Owner Officer		Other				
GUERON JUDITH M 201 ISABELLA STREET	х							
PITTSBURGH, PA 15212	Α							
Signatures								
Brenda Hart (Assistant Secreta attorney	ry), by po	ower of		07/03/2012				
<u>**</u> Signature of Reporting		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Alcoa Dividend Reinvestment Plan
- (2) 1 For 1
- (3) Phantom stock units were acquired under the Alcoa 2005 Deferred Fee Plan for Directors and are to be paid out in cash after Board service ends.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.