Edgar Filing: ZEDILLO ERNESTO - Form 4

ZEDILLO	ERNESTO											
Form 4												
October 02	, 2009											
FORM	ЛЛ									PPROVA	L	
	VI 4 UNITED	STATES		RITIES A shington				COMMISSIO	N OMB Number:	3235-	0287	
	this box			U	-				Expires:	Januar		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Estimated	Estimated average			
Section 16. SECURITIES Form 4 or										burden hours per response		
Form 5		rsuant to Se	ection 1	6(a) of th	ne Sec	mriti	es Excha	nge Act of 1934,	•	•	0.5	
obligati	ions Section 17(of 1935 or Secti				
may co See Inst	nunue.			•	•		y Act of 1		on			
1(b).												
(Print or Type	e Responses)											
	Address of Reporting	_		er Name an	d Ticke	er or 7	Frading	5. Relationship of Reporting Person(s) to				
ZEDILLO ERNESTO			Symbol					Issuer				
		ALCOA INC [AA]					(Check all applicable)					
(Last)	(Last) (First) (Middle) 3. Date of Earliest Transaction											
				(Month/Day/Year)				XDirector		% Owner		
201 ISABI		10/01/2009					Difficer (give title Other (specify below) below)					
(Street) 4. If A				If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
			Filed(Mo	Month/Day/Year)				Applicable Line)				
								X Form filed by Form filed by				
PITTSBUI	RGH, PA 15212							Person	where that one is	eporting		
(City)	(State)	(Zip)	Tab	le I - Non-l	Deriva	tive S	Securities A	cquired, Disposed	of, or Beneficia	ally Owned	i	
1.Title of	2. Transaction Date			3.	4. See			5. Amount of	6. Ownership	7. Nature	of	
Security	(Month/Day/Year)	Execution I	Date, if	Transactio				Securities	Form: Direct	Indirect		
(Instr. 3)		any (Month/Day	v/Year)	Code (Instr. 8)	Dispo (Instr		and 5)	Beneficially Owned	(D) or Indirect (I)	Ownershi		
		(Wond) Day	y, i cui)	(111511:0)	(insu	. 5, 1	und 5)	Following Reported	(Instr. 4)	(Instr. 4)	Р	
							(A)	Transaction(s)				
				Code V	Amo	unt	or (D) Price	(Instr. 3 and 4)				
Reminder: Re	eport on a separate line	e for each cla	ss of sec	urities bene	ficially	/ own	ed directly of	or indirectly.				
	•				•		•	spond to the colle	ction of	SEC 1474		
					int	form	ation cont	ained in this forn	n are not	(9-02)		
								ond unless the fo				
						umbe		ntly valid OMB co				

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriva
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Securi
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Instr.

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	Derivative Security				(A) or Dispose (D) (Instr. 3, and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	(1)	10/01/2009	А		1,886		(2)	(2)	Common Stock	1,886	\$ 13.

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
ZEDILLO ERNESTO 201 ISABELLA STREET PITTSBURGH, PA 15212	Х			
Signatures				
Brenda Hart (Assistant Secretar	10/02/2009			

<u>**</u>Signature of Reporting Person Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) 1 For 1

attorney

(2) Phantom stock units were acquired under the Alcoa 2005 Deferred Fee Plan for Directors and are to be paid out in cash after Board service ends.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.