## Edgar Filing: ALCOA INC - Form 4

ALCOA IN	С									
Form 4										
October 01,										
FORM		OT A TEC	CECU					т	PPROVAL	
Washington, D.C. 20549							NOMB Number:	3235-0287		
Check th if no lon	ger							Expires:	January 31 2005	
subject t Section	16. <b>SIAIEN</b>	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							average urs per	
Form 4 o Form 5		remant to S	ection	16(a) of th	ne Securi	ties Excha	nge Act of 1934,	response	. 0.5	
obligatio	$\frac{1}{2}$ Section 17(						of 1935 or Section	on		
may con <i>See</i> Instr		30(h)	of the I	nvestment	t Compai	ny Act of 1	940			
1(b).										
(Print or Type	Responses)									
DUDTELL LAWDENCE D				2. Issuer Name <b>and</b> Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer			
			ALCOA INC [AA]				(Check all applicable)			
(Last)	(First) (A	Middle)	3. Date of	of Earliest T	ransaction		(Che	ck all applicabl	e)	
201 ICADE				(Month/Day/Year)			Director 10% Owner X_ Officer (give title Other (specify			
201 ISABE	ILLA STREET		09/28/2	2007			below)	below) and General C		
			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
			Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
PITTSBUR	RGH, PA 15212							More than One R		
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	cquired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8)	Disposed	(A) or of (D)	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						(A)	Reported Transaction(s)			
				Code V	Amount	or (D) Price	(Instr. 3 and 4)			
Reminder: Re	port on a separate line	e for each cla	ass of sec	urities bene	ficially ow	ned directly	or indirectly			
	on a copulate line				Perso	ons who res	spond to the collect ained in this form		SEC 1474 (9-02)	

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Date	Underlying Securities	Derivative
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security

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(Instr. 3)	Price of Derivative Security	(Month/Da	y/Year) (Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(1)</u>	09/28/2007	А	74	(2)	(2)	Common Stock	74	\$ 39

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
PURTELL LAWRENCE R 201 ISABELLA STREET PITTSBURGH, PA 15212			Exec. VP and General Counsel				
Signatures							
Brenda Hart (Assistant Secreta attorney	ry), by po	ower of	10/01/2007				

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) 1 For 1

Phantom stock units were acquired under the Alcoa Deferred Compensation Plan and are to be paid out in cash after termination of(2) employment. The reporting person may transfer the phantom stock into an alternative investment account under the Plan at times permitted under the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.