Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

COMMUNITY TRUST BANCORP INC /KY/ Form 4 October 21, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading NEWSOM RICHARD W Issuer Symbol COMMUNITY TRUST BANCORP (Check all applicable) INC /KY/ [CTBI] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) PO BOX 2947 10/18/2013 Executive Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting **PIKEVILLE, KY 41502-2947** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) (Instr. 8) Owned (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common S 10/18/2013 Μ 4.493 Α 12,322.9877 D Stock 38.95 Common 10/18/2013 16,072.9877 Μ 3,750 D А 28.32 Stock Common 10/21/2013 S 8.243 D D 7,829.9877 42.23 Stock Common By: 11,335.0679 Ι Stock 401(k) Common 7,991.6191 Ι By: ESOP Stock

Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDeri Secu Acqu Disp	umber of vative urities uired (A) or osed of (D) r. 3, 4, and	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Option (1)	\$ 38.95	10/18/2013		М		1,123.75	01/23/2008	01/23/2017	Common Stock	1,123.
Option (1)	\$ 38.95	10/18/2013		М		1,123.25	01/23/2009	01/23/2017	Common Stock	1,123.
Option (1)	\$ 38.95	10/18/2013		М		1,123.25	01/23/2010	01/23/2017	Common Stock	1,123.
Option (1)	\$ 38.95	10/18/2013		М		1,123.25	01/23/2011	01/23/2017	Common Stock	1,123.
Option (2)	\$ 28.32	10/18/2013		М		3,750	01/29/2013	01/29/2018	Common Stock	3,750

Reporting Owners

Reporting Owner Name / Address			Relationships		
1	Director	10% Owner	Officer	Other	
NEWSOM RICHARD W PO BOX 2947 PIKEVILLE, KY 41502-2947			Executive Vice President		
Signatures					
Richard W. Newsom By: Maril Attorney-in-Fact	yn T. Jus	tice,	10/21/2013		

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4

(1) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan).

(2) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.