

O'BRIEN DEIRDRE
 Form 3
 February 07, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|----------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â O'BRIEN DEIRDRE | | (Month/Day/Year) | APPLE INC [AAPL] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | | | |
| ONE APPLE PARK WAY | | | (Check all applicable) | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| | (Street) | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| CUPERTINO,Â CAÂ 95014 | | | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | (City) | (State) | Senior Vice President | |
| | | (Zip) | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 137,913 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of | |

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| | | | | Shares | | (I) (Instr. 5) | |
|-----------------------|-------|-------|--------------|--------|--------|-------------------|---|
| Restricted Stock Unit | Â (1) | Â (1) | Common Stock | 14,668 | \$ (2) | D | Â |
| Restricted Stock Unit | Â (3) | Â (3) | Common Stock | 27,629 | \$ (2) | D | Â |
| Restricted Stock Unit | Â (4) | Â (4) | Common Stock | 33,441 | \$ (2) | D | Â |
| Restricted Stock Unit | Â (5) | Â (5) | Common Stock | 33,225 | \$ (2) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| O'BRIEN DEIRDRE ONE APPLE PARK WAY CUPERTINO, CA 95014 | Â | Â | Â Senior Vice President | Â |

Signatures

/s/ Sam Whittington, Attorney-in-Fact for Deirdre O'Brien
Date: 02/07/2019

Signature of Reporting Person: _____ Date: _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This restricted stock unit award was granted October 5, 2015 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2019, assuming continued employment through the applicable vesting date.
- (2) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (3) This restricted stock unit award was granted October 14, 2016 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2020, assuming continued employment through the applicable vesting date.
- (4) This restricted stock unit award was granted October 15, 2017 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2021, assuming continued employment through the applicable vesting date.
- (5) This restricted stock unit award was granted September 30, 2018 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2022, assuming continued employment through the applicable vesting date.

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Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.