BRADDOCK RICHARD S

Form 4 July 08, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BRADDOCK RICHARD S** Issuer Symbol EASTMAN KODAK CO [EK] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) _X__ Director 10% Owner Other (specify Officer (give title EASTMAN KODAK 07/06/2011 below) COMPANY, 343 STATE STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

ROCHESTER, NY 14650

(State)

(Zin)

(City)

(City)	(State) (Table Table	e I - Non-D	erivative S	ecurit	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of	2. Transaction Date		3.	4. Securit		•	5. Amount of	6. Ownership	
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	8)			Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			~		or		(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	· ·		
Common Stock	07/06/2011		A	12,411 (1)	A	\$ 2.82	259,259 (3)	D	
Common Stock	07/06/2011		A	1,773 (2)	A	\$ 2.82	261,032 <u>(3)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	(Month/Day/Year) Execution Date, if TransactionNumber I			ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (right to buy)	\$ 29.1					<u>(4)</u>	01/01/2012	Common Stock	2,000
Option (right to buy)	\$ 36.66					<u>(4)</u>	11/21/2012	Common Stock	2,000
Option (right to buy)	\$ 24.49					<u>(4)</u>	11/18/2013	Common Stock	2,000
Option (right to buy)	\$ 31.71					<u>(4)</u>	12/09/2014	Common Stock	1,500
Option (right to buy) (5)	\$ 24.75					<u>(4)</u>	12/06/2012	Common Stock	1,500
Option (right to buy) (5)	\$ 25.88					<u>(4)</u>	12/11/2013	Common Stock	1,500
Option (right to buy) (5)	\$ 23.28					<u>(4)</u>	12/10/2014	Common Stock	9,620
Options (right to buy) (5)	\$ 7.41					<u>(4)</u>	12/08/2015	Common Stock	18,180
Option (right to buy) (5)	\$ 4.52					<u>(4)</u>	12/06/2016	Common Stock	18,180
Option (right to buy)	\$ 3.4					<u>(4)</u>	02/27/2018	Common Stock	34,146
	<u>(6)</u>					<u>(7)</u>	<u>(7)</u>		7,194.34

8. Property Section (Institute 1)

Phantom Common Stock Stock Units

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BRADDOCK RICHARD S EASTMAN KODAK COMPANY 343 STATE STREET ROCHESTER, NY 14650



Signatures

Patrick M. Sheller, as attorney-in-fact for Richard S.

Braddock

07/08/2011

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of shares in partial payment of annual retainer.
- (2) Grant of shares in partial payment of chair retainer.
- (3) Some of these shares are restricted.
- (4) These options vest one year after the date of grant.
- (5) Stock option granted under the 2005 Omnibus Long-Term Compensaton Plan.
- (6) This award converts to common stock on a 1-for-1 basis.
- (7) Phantom stock units do not have exercise dates or expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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