

EASTMAN KODAK CO  
Form 4  
August 06, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BRADDOCK RICHARD S**

(Last) (First) (Middle)

**EASTMAN KODAK  
COMPANY, 343 STATE STREET**

(Street)

**ROCHESTER, NY 14650**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**EASTMAN KODAK CO [EK]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**08/06/2007**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify  
below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       |                                         |                                                             | Code V                                  | Amount (D) Price                                                           | 58,034 <sup>(1)</sup>                                                                                              | D                                                                    |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

# Edgar Filing: EASTMAN KODAK CO - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    | 8. Deemed<br>Exercise<br>Price<br>(Instr. 3) |                                        |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|----------------------------------------------|----------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                               | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title                                        | Amount<br>or<br>Number<br>of<br>Shares |
| Option<br>(right to<br>buy)                         | \$ 65.625                                                          |                                         |                                                             |                                      |                                                                                                                 |                                                                |     | <u>(2)</u>                                                          | 01/02/2010         | Common<br>Stock                              | 2,000                                  |
| Option<br>(right to<br>buy)                         | \$ 38.7813                                                         |                                         |                                                             |                                      |                                                                                                                 |                                                                |     | <u>(2)</u>                                                          | 01/01/2011         | Common<br>Stock                              | 2,000                                  |
| Option<br>(right to<br>buy)                         | \$ 29.1                                                            |                                         |                                                             |                                      |                                                                                                                 |                                                                |     | <u>(2)</u>                                                          | 01/01/2012         | Common<br>Stock                              | 2,000                                  |
| Option<br>(right to<br>buy)                         | \$ 36.66                                                           |                                         |                                                             |                                      |                                                                                                                 |                                                                |     | <u>(2)</u>                                                          | 11/21/2012         | Common<br>Stock                              | 2,000                                  |
| Option<br>(right to<br>buy)                         | \$ 24.49                                                           |                                         |                                                             |                                      |                                                                                                                 |                                                                |     | <u>(2)</u>                                                          | 11/18/2013         | Common<br>Stock                              | 2,000                                  |
| Option<br>(right to<br>buy)                         | \$ 31.71                                                           |                                         |                                                             |                                      |                                                                                                                 |                                                                |     | <u>(2)</u>                                                          | 12/09/2014         | Common<br>Stock                              | 1,500                                  |
| Option<br>(right to<br>buy) <u>(3)</u>              | \$ 24.75                                                           |                                         |                                                             |                                      |                                                                                                                 |                                                                |     | <u>(2)</u>                                                          | 12/06/2012         | Common<br>Stock                              | 1,500                                  |
| Option<br>(right to<br>buy) <u>(3)</u>              | \$ 25.88                                                           |                                         |                                                             |                                      |                                                                                                                 |                                                                |     | <u>(2)</u>                                                          | 12/11/2013         | Common<br>Stock                              | 1,500                                  |
| Phantom<br>Stock<br>Units                           | <u>(4)</u>                                                         | 07/16/2007                              |                                                             | J                                    | V                                                                                                               | 58.07<br><u>(6)</u>                                            |     | <u>(5)</u>                                                          | <u>(5)</u>         | Common<br>Stock                              | 58.07                                  |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

BRADDOCK RICHARD S  
EASTMAN KODAK COMPANY X  
343 STATE STREET  
ROCHESTER, NY 14650

## Signatures

Laurence L. Hickey, as attorney-in-fact for Richard S.  
Braddock

08/06/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Some of these shares are restricted.
- (2) These options vest one year after the date of grant.
- (3) Stock option granted under the 2005 Omnibus Long-Term Compensation Plan.
- (4) This award converts to common stock on a 1-for-1 basis.
- (5) Phantom stock units do not have exercise dates or expiration dates.
- (6) These units were credited to the reporting person's account as dividend equivalents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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