## Edgar Filing: CREGG ROGER A - Form 4

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Form 4										
October 06, 20	4 UNITED S	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								
Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instruct 1(b).	Filed purse section 17(a)									
(Print or Type Res	ponses)									
1. Name and Add CREGG ROG	Symbol	Name and RICA INC			-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 1717 MAIN S	(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 10/04/2017					Officer (give title Other (specify below) below)			
Filed(Mon			ndment, Date Original nth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
DALLAS, TX (City)		Zip) Tabl		• • •			Person			
1.Title of 2	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		2 I - Non-Derivative Securities Acquired 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price			cquired d of 5)		f, or Beneficial 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-	
Common Stock	10/04/2017		А	266 <u>(1)</u>	A	\$ 75.3	39,884 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	of De Sec Ac (A) Dis of (In	rivativ curities quired or posed			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	√ (A	) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationsh			
	Director	10% Owner	Officer	Other	
CREGG ROGER A 1717 MAIN STREET MC 6404 DALLAS, TX 75201	Х				
Signatures					
/s/ Jennifer S. Perry, on behalf Attorney	10/06/				

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock units acquired under a deferred compensation plan. The units are being reported in Table I because they are distributed in the form of common stock, on a one-for-one basis.
- (2) Includes, among other things, stock units held pursuant to a deferred compensation plan and restricted stock units as of October 4, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

0/06/2017

Date